

#### 新北市教育局與國立臺北大學 共創學習假日講座



### 生成式AI在企業永續發展的應用

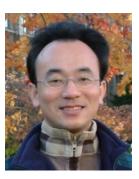
**Generative AI for Corporate ESG and Sustainable Development** 

Time: 15:00-17:00, Saturday, **December 2, 2023** 

Place: NTPU USR Hub

https://learningcollaboration.org/index.php/2023/09/21/collegeco1120103/





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Associate Professor

Institute of Information Management, National Taipei University







### 戴敏育博士





2020 Cohort









Director, Intelligent Financial Innovation Technology, IFIT Lab, IM, NTPU Associate Director, Fintech and Green Finance Center, NTPU

Publications Co-Chairs, IEEE/ACM International Conference on Advances in Social Networks Analysis and Mining (ASONAM 2013-)

Program Co-Chair, IEEE International Workshop on Empirical Methods for Recognizing Inference in TExt (IEEE EM-RITE 2012- )

Publications Chair, The IEEE International Conference on Information Reuse and Integration for Data Science (IEEE IRI 2007-)









### Outline



- 1. Generative Al
- 2. ChatGPT and Large Language Models (LLMs)
- 3. Popular Generative AI and Applications
- 4. Generative AI for Corporate ESG and Sustainable Development



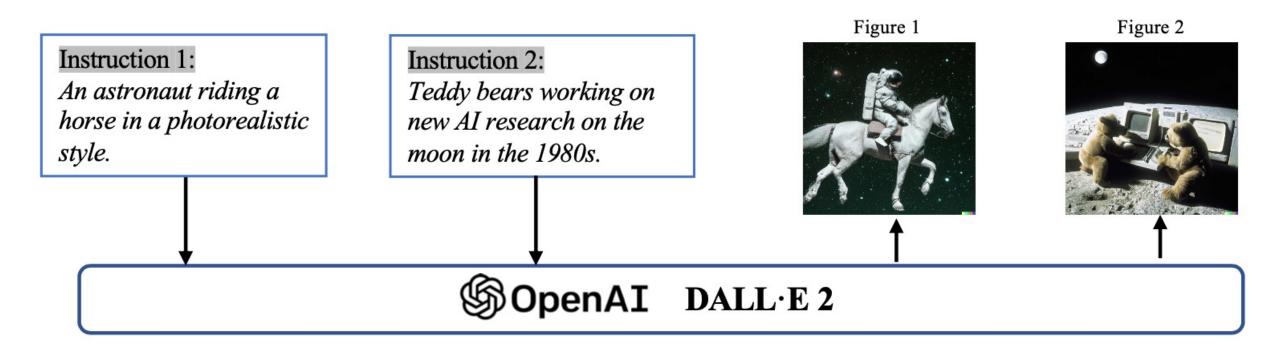
### 衡量企業永續關鍵指標 臺北大學獨創ESG永續評鑑系統



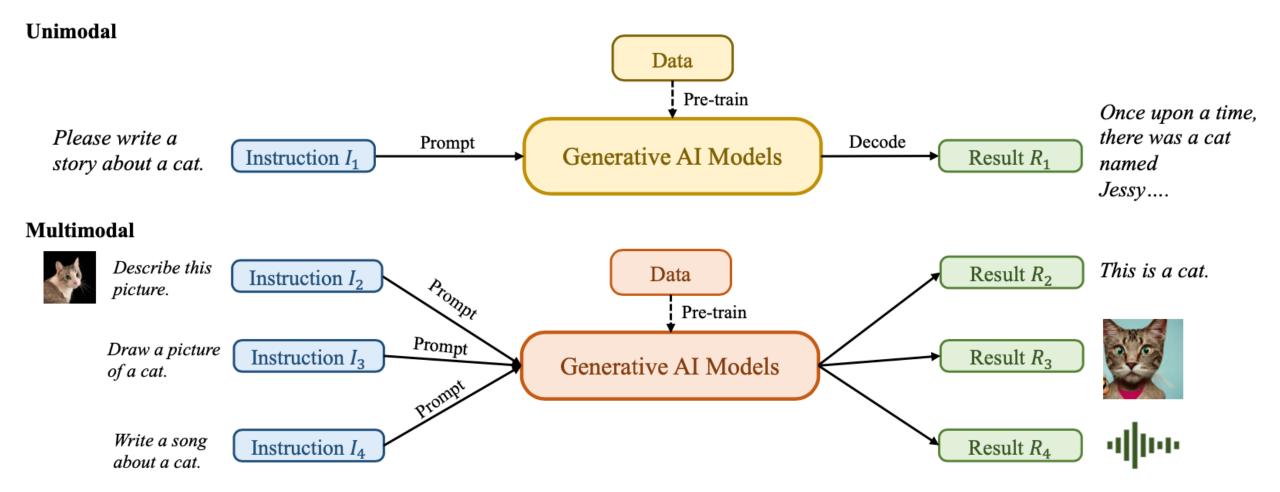
社會(S)	經濟(E)	環境(E)	揭露(D)
1.人權	1.股東權益	1.環境系統與治理	1.ESG 揭露
2.員工溝通與福利	2.董事會結構與運作	2.空氣管理	
3.人力資本發展	3.行為準則與內控	3.能源與氣候變遷	
4.多元組成與包容性	4.風險及危機管理	4.水管理	
5.供應鏈社會面向控管	5.永續金融	5.原物料與廢棄物管理/	
6.客戶關係管理	6.ESG創新	資源與廢棄物管理	
7.產品安全		6.生物多樣性	
		7.供應商及產品生命週期管理/	
8.企業公民與慈善		供應鏈環境面向管理	

## Generative Al (Gen AI) Al Generated Content (AIGC)

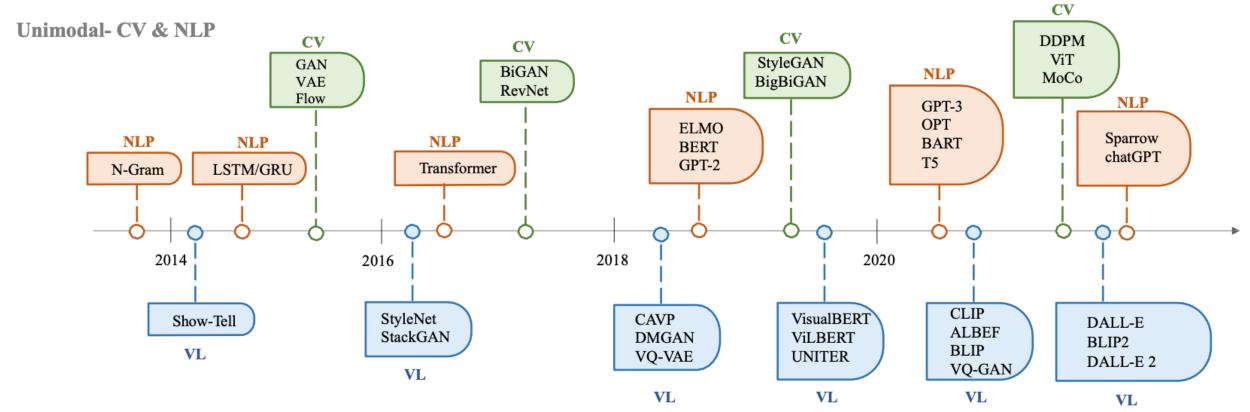
# Generative AI (Gen AI) AI Generated Content (AIGC) Image Generation



## **Generative AI (Gen AI) AI Generated Content (AIGC)**



## The history of Generative Al in CV, NLP and VL

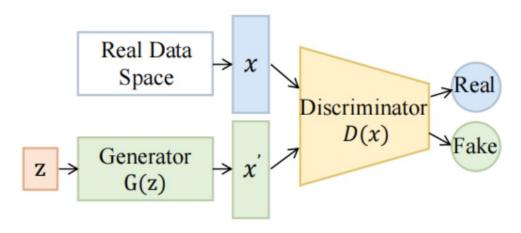


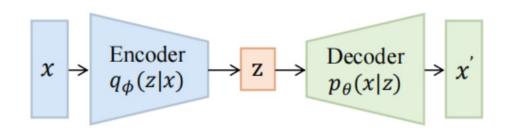
Multimodal – Vision Language

## **Generative Al Foundation Models**



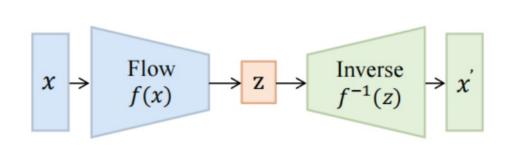
### **Categories of Vision Generative Models**

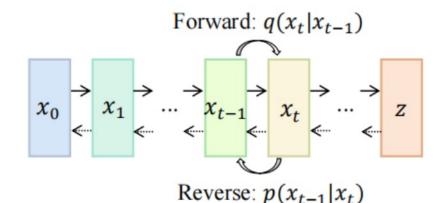




(1) Generative adversarial networks

(2) Variational autoencoders

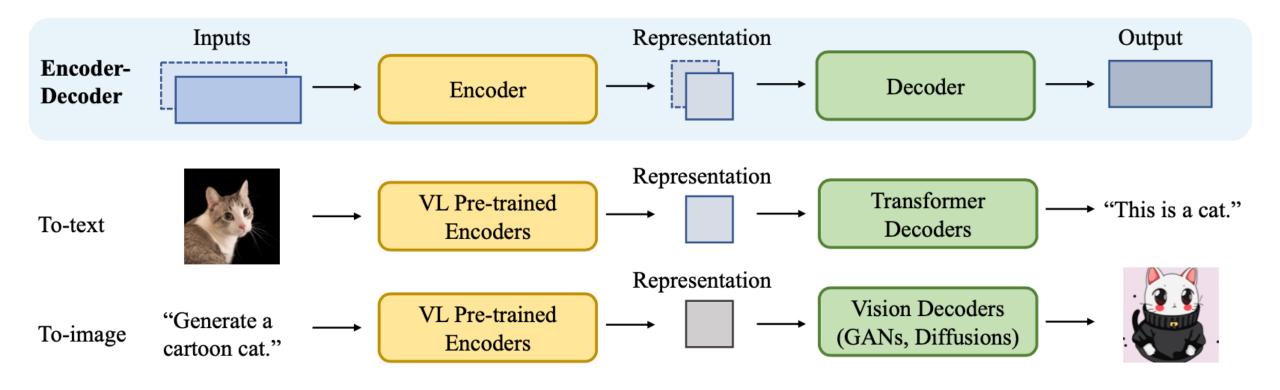




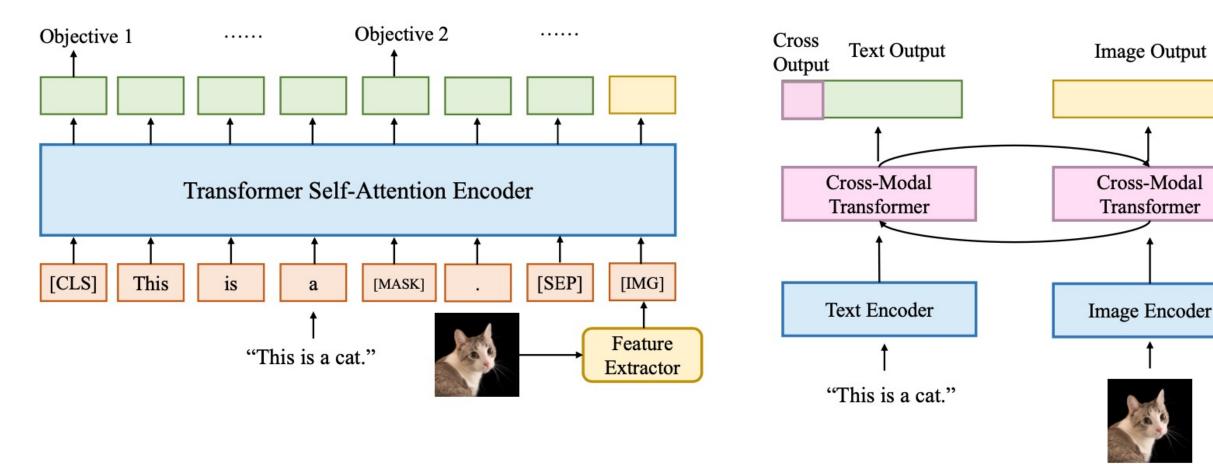
(3) Normalizing flows

(4) Diffusion models

## The General Structure of Generative Vision Language



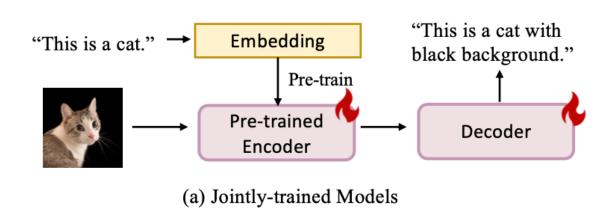
## Two Types of Vision Language Encoders: Concatenated Encoders and Cross-aligned Encoders

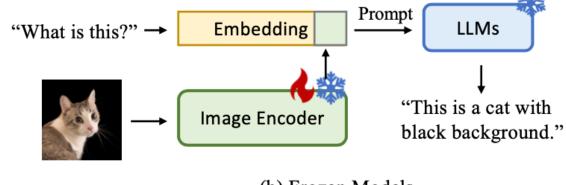


(a) Concatenated Encoder

(b) Cross-aligned Encoder

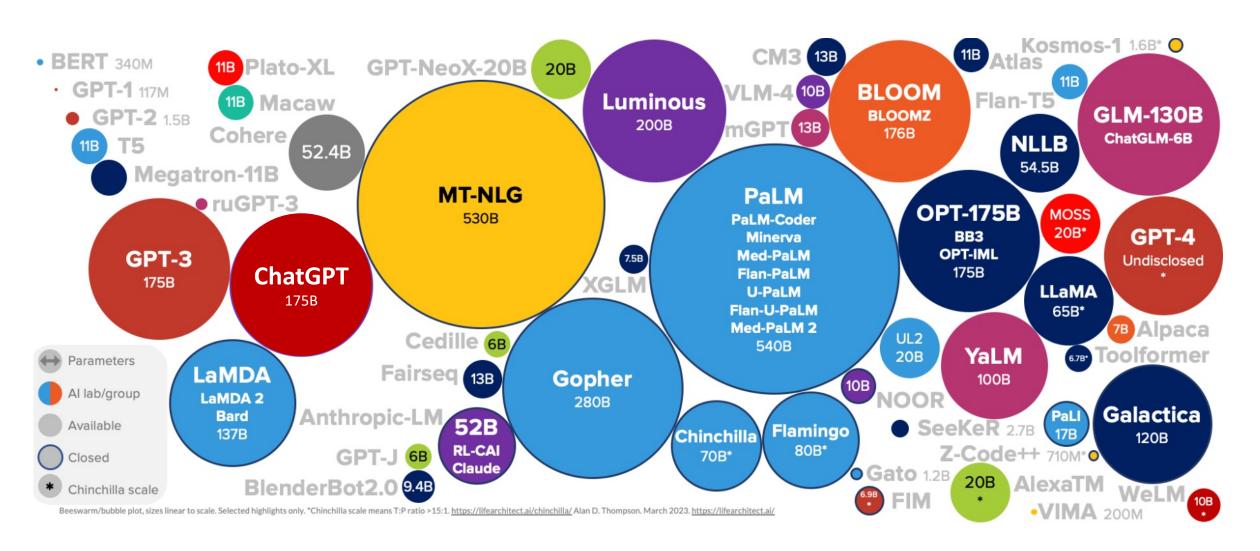
## Two Types of to-language Decoder Models: Jointly-trained Models and Frozen Models



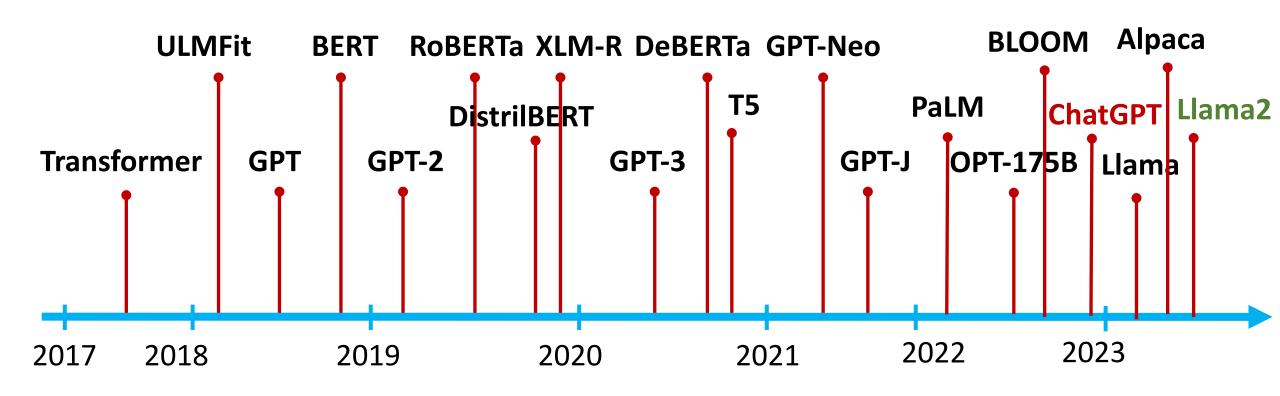


## ChatGPT Large Language Models (LLMs) **Foundation Models**

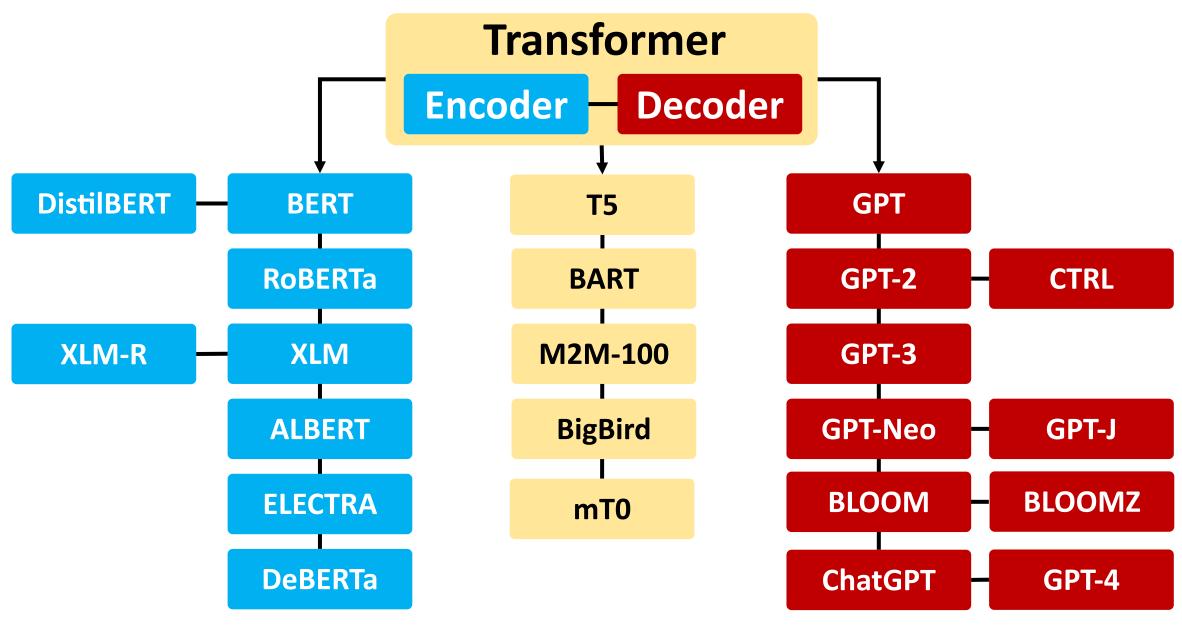
## Large Language Models (LLM) (GPT-3, ChatGPT, PaLM, BLOOM, OPT-175B, LLaMA)



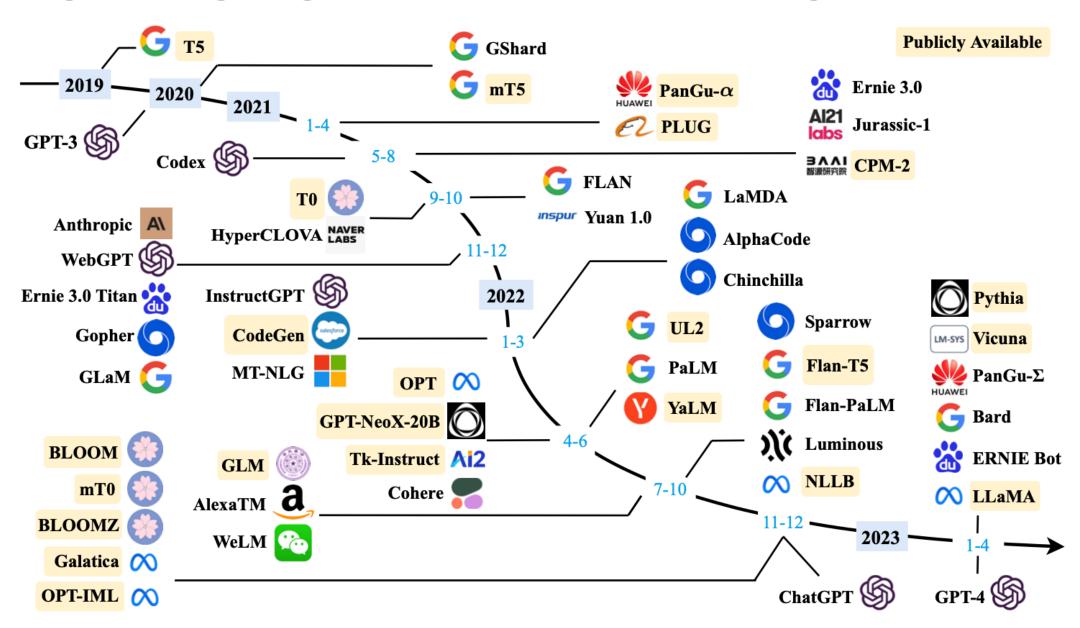
#### The Transformers Timeline



#### **Transformer Models**



#### Large Language Models (LLMs) (larger than 10B)



#### Large Language Models (LLMs) (larger than 10B)

	Model	Release Time	Size (B)	Base Model	Ada IT	aptation RLHF	Pre-train Data Scale	Latest Data Timestamp		Training Time	Eval:	uation CoT
	T5 [72]	Oct-2019	11	-	-	-	1T tokens	Apr-2019	1024 TPU v3	-	✓	-
	mT5 [73]	Oct-2020	13	-	-	-	1T tokens	-	-	-	$\checkmark$	-
	PanGu- $lpha$ [74]	Apr-2021	13*	-	-	-	1.1TB	-	2048 Ascend 910	-	$\checkmark$	-
	CPM-2 [75]	Jun-2021	198	-	-	-	2.6TB	-	-	-	-	-
	T0 [28]	Oct-2021	11	T5	$\checkmark$	-	-	-	512 TPU v3	27 h	$\checkmark$	-
	CodeGen [76]	Mar-2022	16	-	-	-	577B tokens	-	-	-	$\checkmark$	-
	GPT-NeoX-20B [77]	Apr-2022	20	-	-	-	825GB	-	96 40G A100	-	$\checkmark$	-
	Tk-Instruct [78]	Apr-2022	11	T5	$\checkmark$	-	-	-	256 TPU v3	4 h	$\checkmark$	-
	UL2 [79]	May-2022	20	-	-	-	1T tokens	Apr-2019	512 TPU v4	-	$\checkmark$	$\checkmark$
	OPT [80]	May-2022	175	-	-	-	180B tokens	-	992 80G A100	-	$\checkmark$	-
Publicly	NLLB [81]	Jul-2022	54.5	-	-	-	-	-	-	-	$\checkmark$	-
Available	GLM [82]	Oct-2022	130	-	-	-	400B tokens	-	768 40G A100	60 d	$\checkmark$	-
	Flan-T5 [83]	Oct-2022	11	T5	$\checkmark$	-	-	-	-	-	$\checkmark$	$\checkmark$
	BLOOM [68]	Nov-2022	176	-	-	-	366B tokens	-	384 80G A100	105 d	$\checkmark$	-
	mT0 [84]	Nov-2022	13	mT5	$\checkmark$	-	-	-	-	-	$\checkmark$	-
	Galactica [35]	Nov-2022	120	-	-	-	106B tokens	-	-	-	$\checkmark$	$\checkmark$
	BLOOMZ [84]	Nov-2022	176	BLOOM	$\checkmark$	-	-	-	-	-	$\checkmark$	-
	OPT-IML [85]	Dec-2022	175	OPT	$\checkmark$	-	-	-	128 40G A100	-	$\checkmark$	$\checkmark$
	LLaMA [57]	Feb-2023	65	-	-	-	1.4T tokens	-	2048 80G A100	21 d	$\checkmark$	-
	Pythia [86]	Apr-2023	12	-	-	-	300B tokens	-	256 40G A100	-	✓	

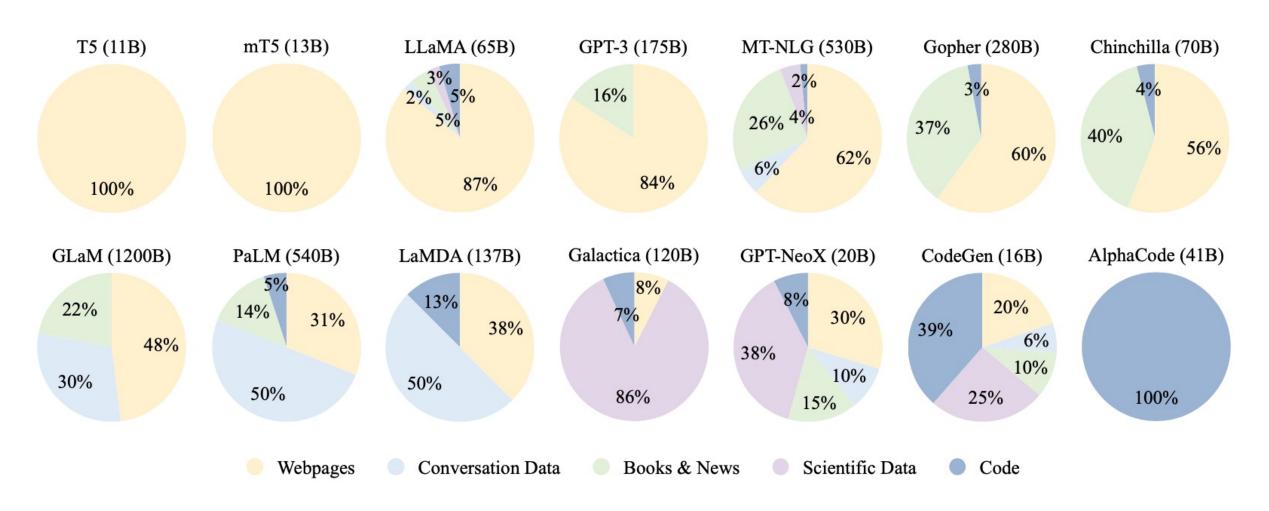
#### Large Language Models (LLMs) (larger than 10B)

	Model	Release	Size	Base	Ada	aptation	Pre-train	Latest Data	Hardware	Training	Evalu	uation
	Wiodei		(B)	Model	IT	RLHF	Data Scale	Timestamp	(GPUs / TPUs)	Time	ICL	CoT
	GPT-3 [55]	May-2020	175	-	-	-	300B tokens	-	-	-	✓	_
	GShard [87]	Jun-2020	600	-	-	-	1T tokens	-	2048 TPU v3	4 d	-	-
	Codex [88]	Jul-2021	12	GPT-3	-	-	100B tokens	May-2020	-	-	$\checkmark$	-
	ERNIE 3.0 [89]	Jul-2021	10	-	-	-	375B tokens	-	384 V100	-	$\checkmark$	-
	Jurassic-1 [90]	Aug-2021	178	-	-	-	300B tokens	-	800 GPU	-	$\checkmark$	-
	HyperCLOVA [91]	Sep-2021	82	-	-	-	300B tokens	-	1024 A100	13.4 d	$\checkmark$	-
	FLAN [62]	Sep-2021	137	LaMDA	$\checkmark$	-	-	-	128 TPU v3	60 h	$\checkmark$	-
	Yuan 1.0 [92]	Oct-2021	245	-	-	-	180B tokens	-	2128 GPU	-	$\checkmark$	-
	Anthropic [93]	Dec-2021	52	-	-	-	400B tokens	-	-	-	$\checkmark$	-
	WebGPT [71]	Dec-2021	175	GPT-3	-	$\checkmark$	-	-	-	-	$\checkmark$	-
	Gopher [59]	Dec-2021	280	-	-	-	300B tokens	-	4096 TPU v3	920 h	$\checkmark$	-
	ERNIE 3.0 Titan [94]	Dec-2021	260	-	-	-	300B tokens	-	2048 V100	28 d	$\checkmark$	-
	GLaM [ <u>95]</u>	Dec-2021	1200	-	-	-	280B tokens	-	1024 TPU v4	574 h	$\checkmark$	-
Closed	LaMDA [96]	Jan-2022	137	-	-	-	2.81T tokens	-	1024 TPU v3	57.7 d	-	-
Source	MT-NLG [97]	Jan-2022	530	-	-	-	270B tokens	-	4480 80G A100	-	$\checkmark$	-
bource	AlphaCode [98]	Feb-2022	41	-	-	-	967B tokens	Jul-2021	-	-	-	-
	InstructGPT [61]	Mar-2022	175	GPT-3	$\checkmark$	$\checkmark$	-	-	-	-	$\checkmark$	-
	Chinchilla [34]	Mar-2022	70	-	-	-	1.4T tokens	-	-	-	$\checkmark$	-
	PaLM [ <u>56</u> ]	Apr-2022	540	-	-	-	780B tokens	-	6144 TPU v4	-	$\checkmark$	$\checkmark$
	AlexaTM [99]	Aug-2022	20	-	-	-	1.3T tokens	-	128 A100	120 d	$\checkmark$	$\checkmark$
	Sparrow [100]	Sep-2022	70	-	-	$\checkmark$	-	-	64 TPU v3	-	$\checkmark$	-
	WeLM [101]	Sep-2022	10	-	-	-	300B tokens	-	128 A100 40G	24 d	$\checkmark$	-
	U-PaLM [ <u>102]</u>	Oct-2022	540	PaLM	-	-	-	-	512 TPU v4	5 d	$\checkmark$	$\checkmark$
	Flan-PaLM [ <u>83]</u>	Oct-2022	540	PaLM	$\checkmark$	-	-	-	512 TPU v4	37 h	$\checkmark$	$\checkmark$
	Flan-U-PaLM [83]	Oct-2022	540	U-PaLM	$\checkmark$	-	-	-	-	-	$\checkmark$	$\checkmark$
	GPT-4 [46]	Mar-2023	-	-	$\checkmark$	$\checkmark$	-	-	-	-	$\checkmark$	$\checkmark$
	PanGu- $\Sigma$ [103]	Mar-2023	1085	PanGu- $\alpha$	-	-	329B tokens	-	512 Ascend 910	100 d	✓	

#### **Statistics of Commonly-used Data Sources for LLMs**

Corpora	Size	Source	Latest Update Time
BookCorpus [109]	5GB	Books	Dec-2015
Gutenberg [110]	-	Books	Dec-2021
C4 [72]	800GB	CommonCrawl	Apr-2019
CC-Stories-R [111]	31GB	CommonCrawl	Sep-2019
CC-NEWS [27]	78GB	CommonCrawl	Feb-2019
REALNEWs [112]	120GB	CommonCrawl	Apr-2019
OpenWebText [113]	38GB	Reddit links	Mar-2023
Pushift.io [114]	-	Reddit links	Mar-2023
Wikipedia [115]	-	Wikipedia	Mar-2023
BigQuery [116]	-	Codes	Mar-2023
the Pile [117]	800GB	Other	Dec-2020
ROOTS [118]	1.6TB	Other	Jun-2022

## Ratios of various data sources in the pre-training data for existing LLMs



## Typical Data Preprocessing Pipeline for Pre-training Large Language Models (LLMs)

#### **Raw Corpus**







#### **Quality Filtering**

- Language Filtering
- Metric Filtering
- Statistic Filtering
- Keyword Filtering

Alice is writing a paper about LLMs. #\$^& Alice is writing a paper about LLMs.

#### **De-duplication**

- Sentence-level
- Document-level
- Set-level

Alice is writing a paper about LLMs. Alice is writing a paper about LLMs.

#### **Privacy Reduction**

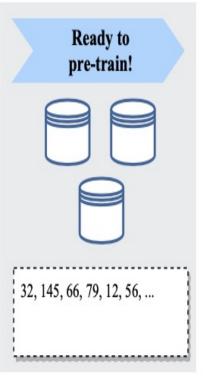
- Detect Personality Identifiable Information (PII)
- · Remove PII

Replace ('Alice') is writing a paper about LLMs.

#### **Tokenization**

- Reuse Existing Tokenizer
- SentencePiece
- Byte-level BPE

Encode ('[Somebody] is writing a paper about LLMs.')

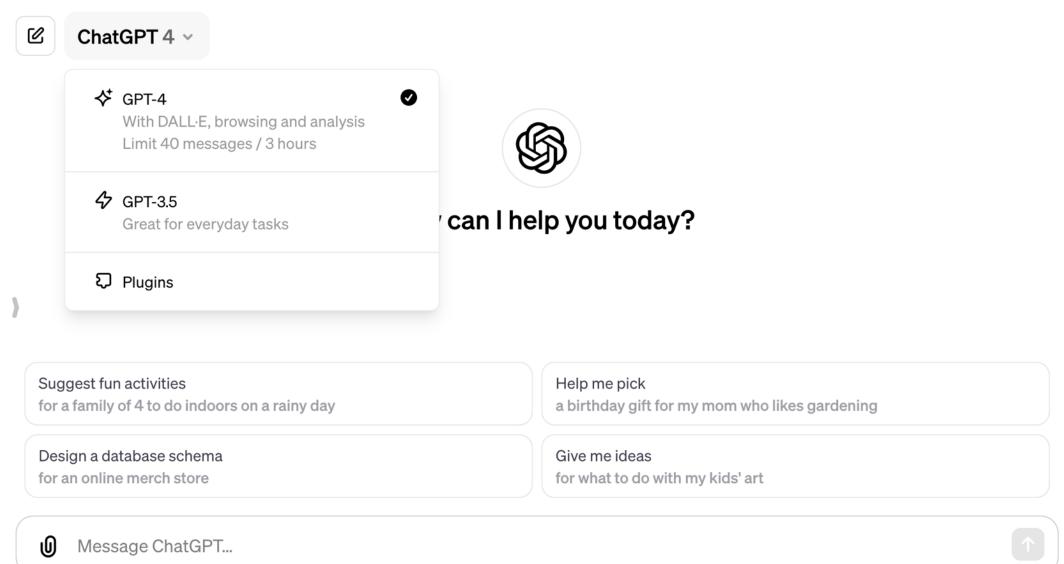


## Generative Al Text, Image, Video, Audio **Applications**

#### **Popular Generative Al**

- OpenAl ChatGPT (GPT-3.5, GPT-4)
- OpenAl DALL·E 3
- Perplexity.ai
- Chat.LMSys.org
  - Llama 2, Mistral, Zephyr, Vicuna, MPT, Falcon
- ChatPDF
- Stable Diffusion
- Video: D-ID, Synthesia
- Audio: Speechify

### OpenAl ChatGPT (GPT-4, GPT-3.5)



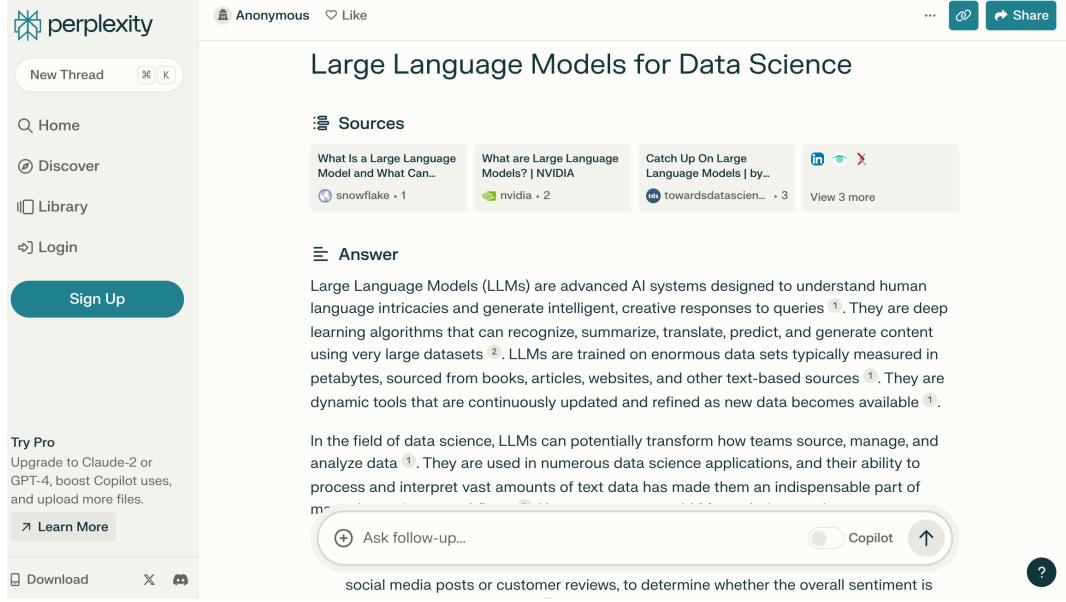
ChatGPT can make mistakes. Consider checking important information.

### OpenAl ChatGPT (GPT-4) DALL·E 3

Vector graphic of a flowchart depicting the integration of generative AI in the education process, from content creation to virtual experiments, personalized learning, and innovative learning.



### Perplexity.ai



### **Chat with Open Large Language Models:**

https://chat.lmsys.org/

- Llama 2: open foundation and fine-tuned chat models by Meta
- Mistral: a large language model by Mistral AI team
- WizardLM: an instruction-following LLM using evol-instruct by Microsoft
- Zephyr: a chatbot fine-tuned from Mistral by Hugging Face
- <u>Code Llama</u>: open foundation models for code by Meta
- ChatGLM: an open bilingual dialogue language model by Tsinghua University
- Qwen: a large language model by Alibaba Cloud
- Vicuna: a chat assistant fine-tuned on user-shared conversations by LMSYS
- Falcon: TII's flagship series of large language models

### **Chat with Open Large Language Models**

#### chat.lmsys.org

#### X Chatbot Arena X: Benchmarking LLMs in the Wild

GPT-3.5: GPT-3.5 by OpenAI

GPT-3.5-Turbo-1106: GPT-3.5-Turbo-1106 by OpenAI

GPT-4-Turbo by OpenAl

**GPT-4**: ChatGPT-4 by OpenAI

Claude: Claude 2 by Anthropic

<u>Claude Instant</u>: Claude Instant by Anthropic

Vicuna: a chat assistant fine-tuned on user-shared conversations by LMSYS

<u>Llama 2</u>: open foundation and fine-tuned chat models by Meta

<u>ChatGLM</u>: an open bilingual dialogue language model by Tsinghua University

OpenChat 3.5: OpenChat 3.5 is a versatile, open-source language model fine-tuned using C-RLFT

Mistral: a large language model by Mistral Al team

Zephyr: a chatbot fine-tuned from Mistral by Hugging Face

**Qwen**: a large language model by Alibaba Cloud

<u>Code Llama</u>: open foundation models for code by Meta

<u>WizardLM</u>: an instruction-following LLM using evol-instruct by Microsoft

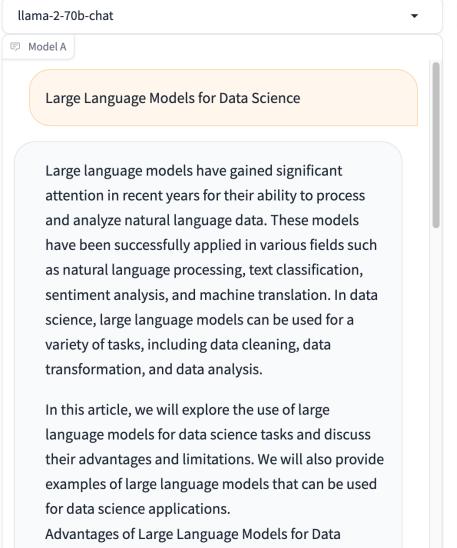
PaLM 2 Chat: PaLM 2 for Chat (chat-bison@001) by Google

<u>Falcon</u>: TII's flagship series of large language models

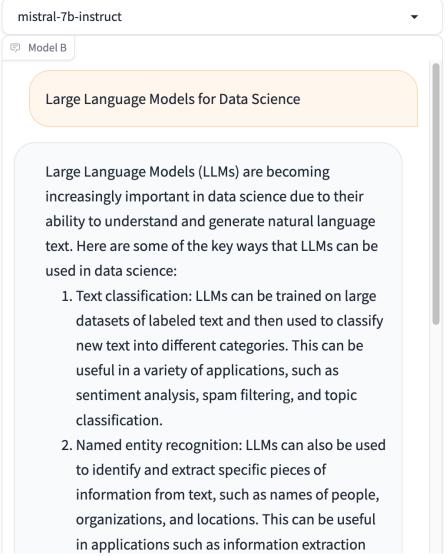
#### **Large Language Models for Data Science**

### Chat with Open Large Language **Models:** Chatbot **Arena**

#### Llama 2-70b-chat



#### Mistral-7b-instruct

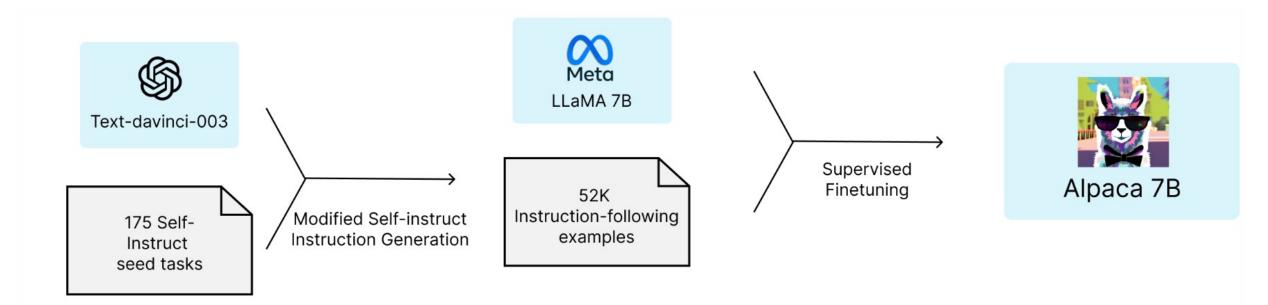


## **Chatbot Arena Leaderboard LLM Leaderboard**

Model	Arena Elo rating	MT-bench (score)	MMLU	License
<u>GPT-4-Turbo</u>	1210	9.32		Proprietary
GPT-4	1159	8.99	86.4	Proprietary
Claude-1	1146	7.9	77	Proprietary
Claude-2	1125	8.06	78.5	Proprietary
Claude-instant-1	1106	7.85	73.4	Proprietary
GPT-3.5-turbo	1103	7.94	70	Proprietary
WizardLM-70b-v1.0	1093	7.71	63.7	Llama 2 Community
<u>Vicuna-33B</u>	1090	7.12	59.2	Non-commercial
OpenChat-3.5	1070	7.81	64.3	Apache-2.0
Llama-2-70b-chat	1065	6.86	63	Llama 2 Community
WizardLM-13b-v1.2	1047	7.2	52.7	Llama 2 Community
zephyr-7b-beta	1042	7.34	61.4	MIT
MPT-30B-chat	1031	6.39	50.4	CC-BY-NC-SA-4.0
<u>Vicuna-13B</u>	1031	6.57	55.8	Llama 2 Community
QWen-Chat-14B	1030	6.96	66.5	Qianwen LICENSE

#### **Stanford Alpaca:**

#### A Strong, Replicable Instruction-Following Model



#### Example seed task

Instruction: Brainstorm a list of possible New Year's resolutions.

#### Output:

- Lose weight
- Exercise more
- Eat healthier

#### Example Generated task

Instruction: Brainstorm creative ideas for designing a conference room.

#### Output:

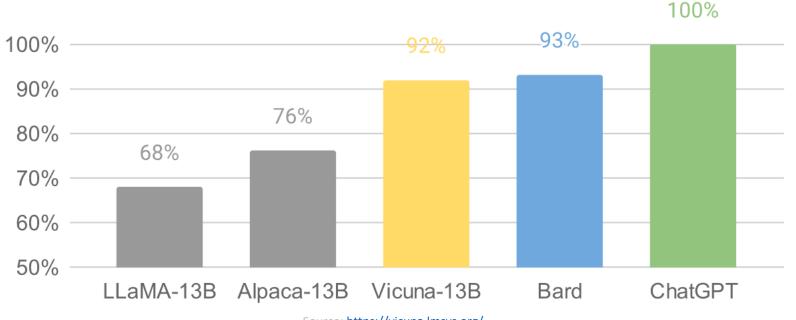
... incorporating flexible components, such as moveable walls and furniture ...



### Vicuna: An Open-Source Chatbot Impressing GPT-4 with 90%\* ChatGPT Quality

by the Team with members from UC Berkeley, CMU, Stanford, and UC San Diego

- Vicuna-13B: an open-source chatbot trained by fine-tuning LLaMA on user-shared conversations collected from ShareGPT.
- The cost of training Vicuna-13B is around \$300.



#### **Chinese-Vicuna:**

## A Chinese Instruction-following LLaMA-based Model 一個中文低資源的 llama+lora方案

Dataset Preview	Size: 409 MB	
instruction (string)	input (string)	output (string)
"用一句话描述地球为什么是独一无二的。 \n "	шш	"地球上有适宜生命存在的条件和多样化的生命形式。"
"给出一段对话,要求GPT模型使用合适的语 气和回答方式继续对话。 对话: A:你今	шш	"A: 这太好了!你的新工作听起来很令人兴奋。你对接下来的日子有什么期望吗? B: 是啊,我非
"基于以下提示填写以下句子的空格。 提示: - 提供多种现实世界的场景 - 空格	шш	"多种形容词可填,以下是其中一些例子: - 愉快的 - 惬意的 - 轻松的 - 安静的 - 美妙的"
"请生成一个新闻标题,描述一场正在发生的大型自然灾害。\n"	пп	""强烈飓风肆虐,数百万人疏散!""
"为指定的词汇创建一个关于该词汇的简短解释。 "人工智能""	шш	"人工智能是一种科技,通过使用计算机程序和算法 实现类似于人类智能的能力,例如学习、推理和自
"在给定的文本中查找并替换特定的单词。 原始文本:"这个句子中有一个错误的单词	шш	"替换后的文本:"这个句子中有一个修改的单词""
"根据给定的年份,计算该年是否为闰年。 \n \n1996\n"	нн	"是闰年。"



#### Chinese-Vicuna based on Guanaco Dataset and Belle Dataset

Source: https://huggingface.co/datasets/Chinese-Vicuna/guanaco\_belle\_merge\_v1.0

### RedPajama

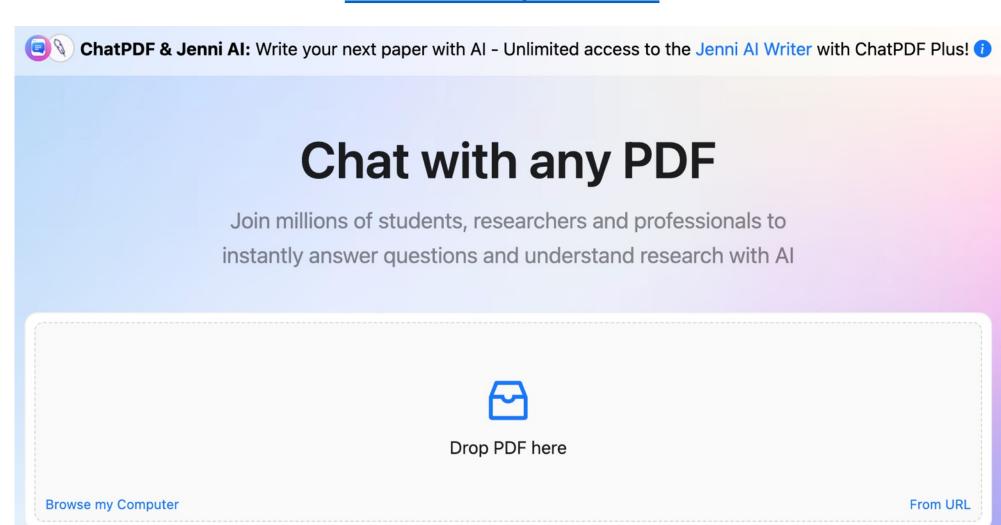
### a project to create leading open-source models, starts by reproducing LLaMA training dataset of over 1.2 trillion tokens



Dataset	RedPajama	LLaMA*
CommonCrawl	878 billion	852 billion
C4	175 billion	190 billion
Github	59 billion	100 billion
Books	26 billion	25 billion
ArXiv	28 billion	33 billion
Wikipedia	24 billion	25 billion
StackExchange	20 billion	27 billion
Total Tokens	1.2 trillion	1.25 trillion

#### **ChatPDF**

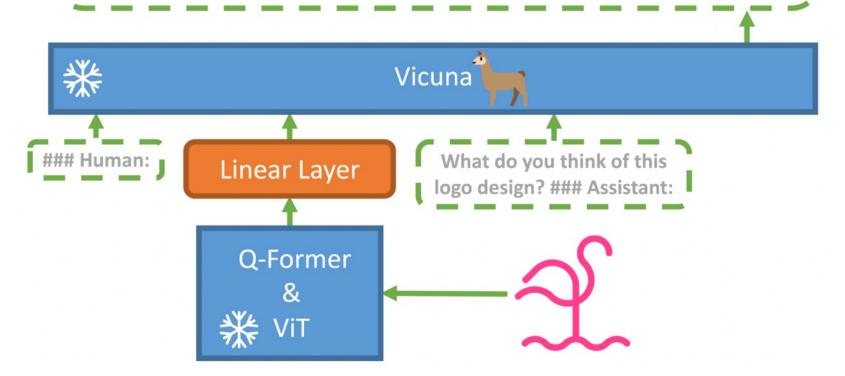
#### www.chatpdf.com



#### **MiniGPT-4:**

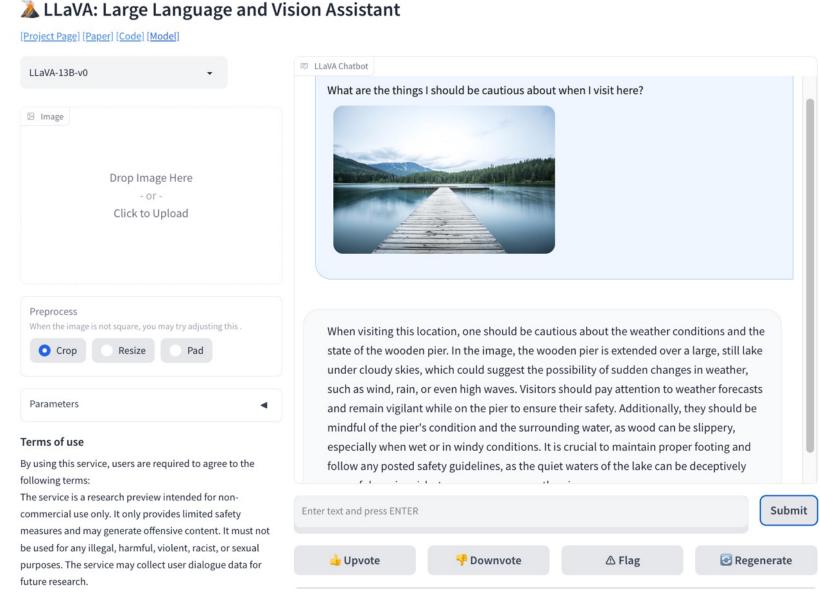
#### **Enhancing Vision-language Understanding with Advanced Large Language Models**

The logo design is simple and minimalistic, with a pink line drawing of a flamingo standing on one leg in the water. The design is clean and easy to recognize, making it suitable for use in various contexts such as a logo for a beach resort or a flamingo-themed event. The use of a flamingo as a symbol adds a touch of whimsy and fun to the design, making it memorable and eye-catching. Overall, it's a well-designed logo that effectively communicates the brand's message.



#### LLaVA: Large Language and Vision Assistant

llava-vl.github.io



Source: https://llava-vl.github.io/

#### **Visual Instruction Tuning**

#### LLaVA: Large Language and Vision Assistant

University of Wisconsin-Madison, Microsoft Research, Columbia University

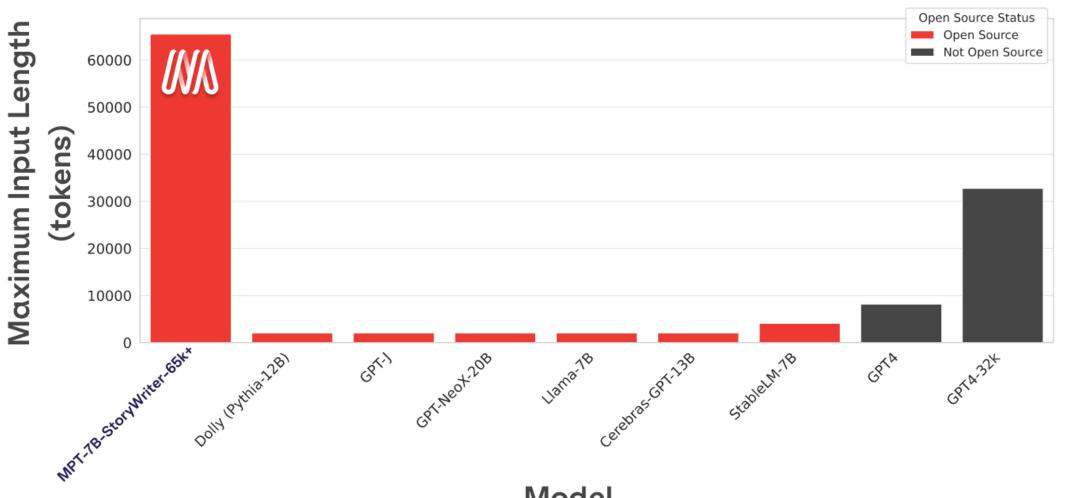
Science QA: New SoTA with the synergy of LLaVA with GPT-4



LLaVA represents a novel end-to-end trained large multimodal model that combines a vision encoder and Vicuna for general-purpose visual and language understanding, achieving impressive chat capabilities mimicking spirits of the multimodal GPT-4 and setting a new state-of-the-art accuracy on Science QA.

#### MPT-7B-StoryWriter-65k+

#### Maximum Input Lengths of Different LLMs





#### MPT-30B, MPT-7B LLaMa-30B, LLaMa-7B

<b>Model Purpose</b>	<b>Model Series</b>	Model	Sequence Length	Accuracy (Pass@1)	Externally Reported Pass@1 & [Source]
		MPT-30B	1024	25.00%	N/A
		MPT-30B Chat	1024	37.20%	N/A
	MPT	MPT-30B Instruct	1024	26.20%	N/A
		MPT-7B	1024	15.90%	N/A
		MPT-7B Instruct	1024	16.50%	N/A
		LLaMa-7B	1024	10.10%	10.5% [1]
	LLaMa	LLaMa-13B	1024	16.50%	15.8% [1]
		LLaMa-30B	1024	20.10%	21.7% [1]
General Purpose		Falcon-40B	1024	1.2%* (did not generate code)	N/A
	Falcon	Falcon-40B Instruct	1024	0.6%* (did not generate code)	18.9% [2]

## Meta Llama-2 70B: Best Open Source and Commercial LLM (Llama-2, Falcon, MPT)

MODEL SIZE (PARAMETERS)	PRETRAINED	FINE-TUNED FOR CHAT USE CASES
7B	Model architecture:	Data collection for helpfulness and safety:
13B	Pretraining Tokens: 2 Trillion	Supervised fine-tuning: Over 100,000
70B	Context Length: 4096	Human Preferences: Over 1,000,000

Llama 2 pretrained models are trained on 2 trillion tokens, and have double the context length than Llama 1. Its fine-tuned models have been trained on over 1 million human annotations.

Source: https://ai.meta.com/llama/

Meta Llama-2 70B: **Best Open Source** and **Commercial** LLM (Llama-2, Falcon, MPT)

Benchmark (Higher is better)	MPT (7B)	Falcon (7B)	Llama-2 (7B)	Llama-2 (13B)	MPT (30B)	Falcon (40B)	Llama-1 (65B)	Llama-2 (70B)
MMLU	26.8	26.2	45.3	54.8	46.9	55.4	63.4	68.9
TriviaQA	59.6	56.8	68.9	77.2	71.3	78.6	84.5	85.0
Natural Questions	17.8	18.1	22.7	28.0	23.0	29.5	31.0	33.0
GSM8K	6.8	6.8	14.6	28.7	15.2	19.6	50.9	56.8
HumanEval	18.3	N/A	12.8	18.3	25.0	N/A	23.7	29.9
AGIEval (English tasks only)	23.5	21.2	29.3	39.1	33.8	37.0	47.6	54.2
BoolQ	75.0	67.5	77.4	81.7	79.0	83.1	85.3	85.0

Llama 2 outperforms other open source language models on many external benchmarks, including reasoning, coding, proficiency, and knowledge tests.

# Llama-2: Comparison to closed-source models (GPT-3.5, GPT-4, PaLM) on academic benchmarks

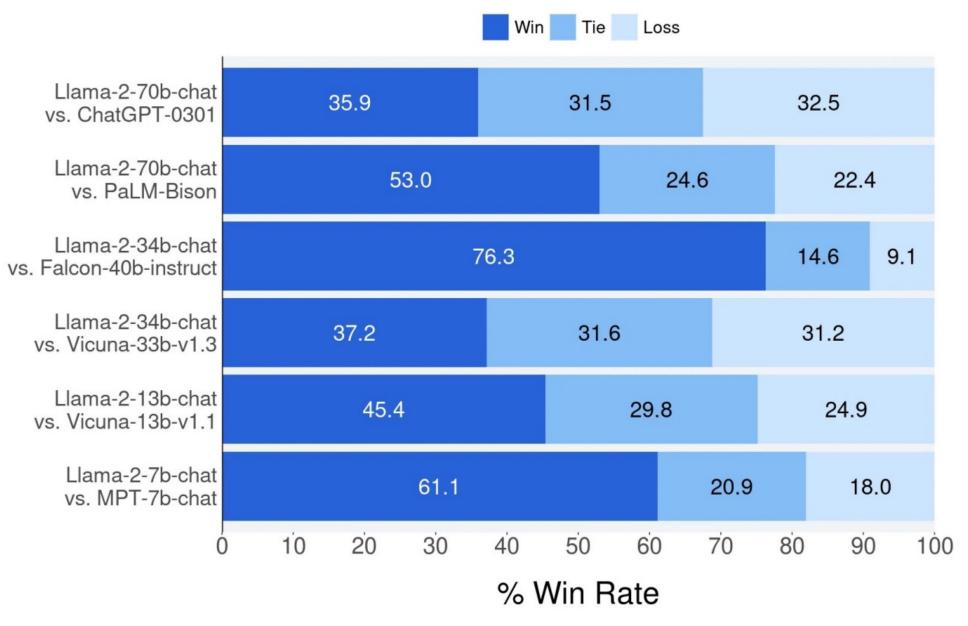
Benchmark (shots)	<b>GPT-3.</b> 5	GPT-4	PaLM	PaLM-2-L	Llama 2
MMLU (5-shot)	70.0	86.4	69.3	78.3	68.9
TriviaQA (1-shot)	_	_	81.4	86.1	85.0
Natural Questions (1-shot)	_	_	29.3	37.5	33.0
GSM8K (8-shot)	5 <b>7.1</b>	92.0	5 <b>6.</b> 5	80.7	5 <b>6.8</b>
HumanEval (0-shot)	48.1	<b>67.0</b>	26.2	_	29.9
BIG-Bench Hard (3-shot)	_	_	5 <b>2.3</b>	<b>65.7</b>	5 <b>1.2</b>

Results for GPT-3.5 and GPT-4 are from OpenAI (2023).

Results for the PaLM model are from Chowdhery et al. (2022).

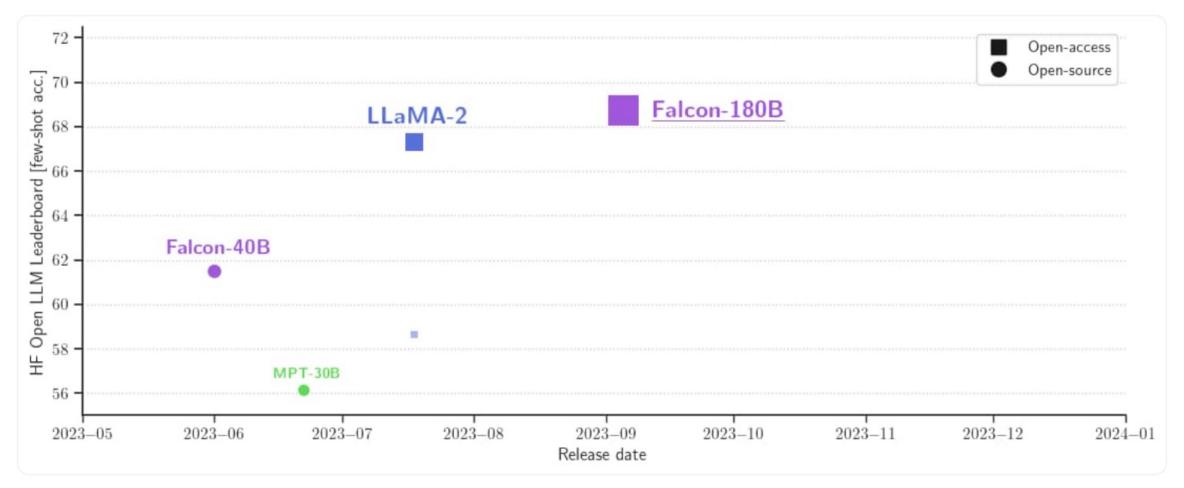
Results for the PaLM-2-L are from Anil et al. (2023).

#### Llama-2 Chat: Helpfulness Human Evaluation





#### Falcon 180B





#### Falcon 180B, LLaMA 65B, MPT 30B

Model	Size	Leaderboard score	Commercial use or license	Pretraining length
Falcon	180B	68.74		3,500B
Llama 2	70B	67.35		2,000B
LLaMA	65B	64.23		1,400B
Falcon	40B	61.48		1,000B
MPT	30B	56.15		1,000B



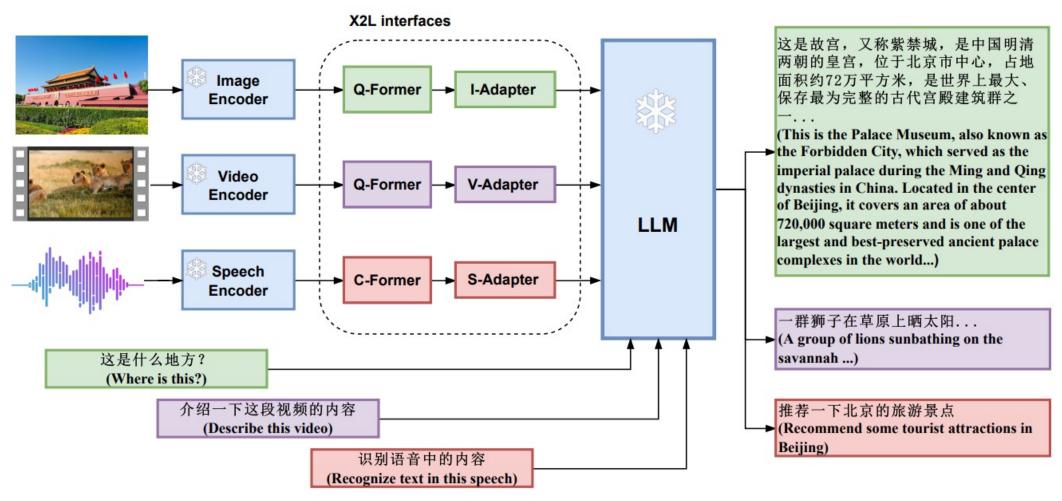
#### Falcon 180B Hardware requirements

NVIDIA A100 80 GB: \$16,135

	Type	Kind	Memory	Example
Falcon 180B	Training	Full fine-tuning	5120GB	8x 8x A100 80GB
Falcon 180B	Training	LoRA with ZeRO-3	1280GB	2x 8x A100 80GB
Falcon 180B	Training	QLoRA	160GB	2x A100 80GB
Falcon 180B	Inference	BF16/FP16	640GB	8x A100 80GB
Falcon 180B	Inference	GPTQ/int4	320GB	8x A100 40GB

#### X-LLM:

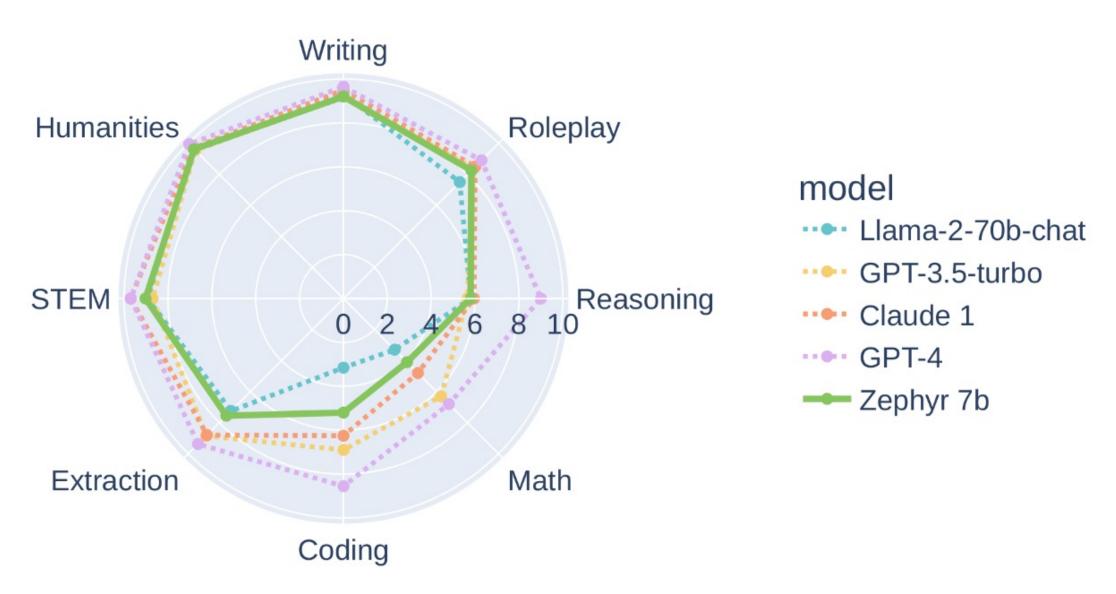
#### Bootstrapping Advanced Large Language Models by Treating Multi-Modalities as Foreign Languages



#### Zephyr-7B-β, Llama2-Chat-70B, GPT-4

Model	Size	Alignment	MT-Bench (score)	AlpacaEval (win rate %)
StableLM-Tuned-α	7B	dSFT	2.75	-
MPT-Chat	7B	dSFT	5.42	-
Xwin-LMv0.1	7B	dPPO	6.19	87.83
Mistral-Instructv0.1	7B	-	6.84	-
Zephyr-7b-α	7B	dDPO	6.88	-
Zephyr-7b-β 燆	<b>7</b> B	dDPO	7.34	90.60
Falcon-Instruct	40B	dSFT	5.17	45.71
Guanaco	65B	SFT	6.41	71.80
Llama2-Chat	70B	RLHF	6.86	92.66
Vicuna v1.3	33B	dSFT	7.12	88.99
WizardLM v1.0	70B	dSFT	7.71	-
Xwin-LM v0.1	70B	dPPO	-	95.57
GPT-3.5-turbo	-	RLHF	7.94	89.37
Claude 2	-	RLHF	8.06	91.36
GPT-4	_	RLHF	8.99	95.28

#### Zephyr-7B-β, Llama2-Chat-70B, GPT-4



#### **Zephyr: Direct Distillation of LM Alignment**

distilled supervised fine-tuning (dSFT)

AI Feedback (AIF)

distilled Direct Preference Optimization (dDPO)

Step 1 - dSFT

Step 2 - AIF

Step 3 - dDPO

#### **Generate multi-turn Al dialogues**

Response generation and Al ranking

**Distillation of AI preferences** 

Prompt sampled from dataset of prompts.

Create a scenario for a game about space exploration

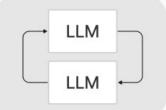
Prompt sampled from dataset of prompts.

Describe how to make chocolate brownies

Prompt sampled from dataset of prompts.

Describe how to make chocolate brownies

LLM simulates multi-turn user-assistant interactions.



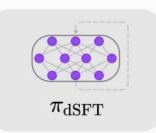
4 different language models generate responses.



Best and another random response are selected.



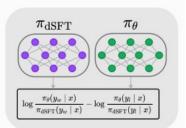
Dialogues are used for supervised fine-tuning.



GPT-4 ranks the responses.



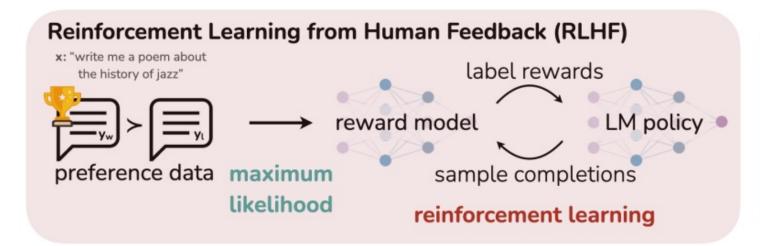
Direct Preference Optimization

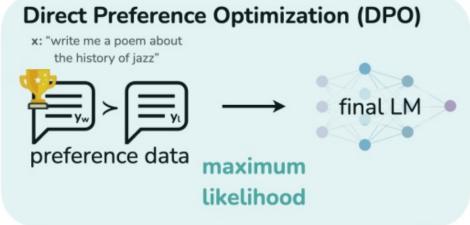


#### **Zephyr: Direct Distillation of LM Alignment**

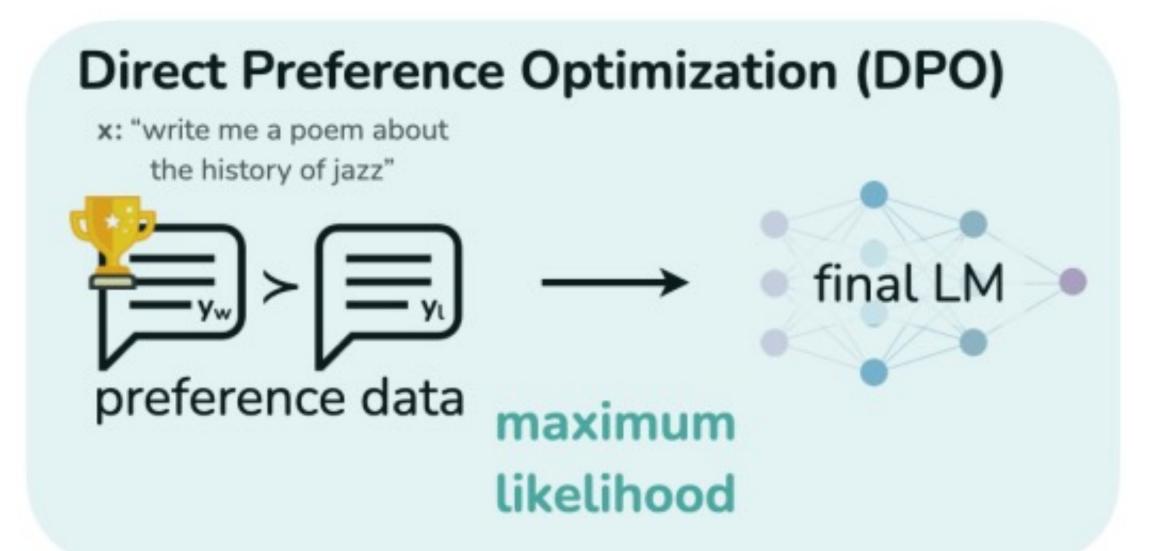
- The three steps of **Zephyr**:
- (1) large scale, self-instruct-style dataset construction
- (UltraChat), followed by distilled supervised fine-tuning (dSFT),
- (2) AI Feedback (AIF) collection
- via an ensemble of chat model completions, followed by scoring by
- GPT-4 (UltraFeedback) and
- binarization into preferences, and
- (3) distilled direct preference optimization (dDPO) of the dSFT model utilizing the feedback data.

## DPO optimizes for human preferences while avoiding reinforcement learning

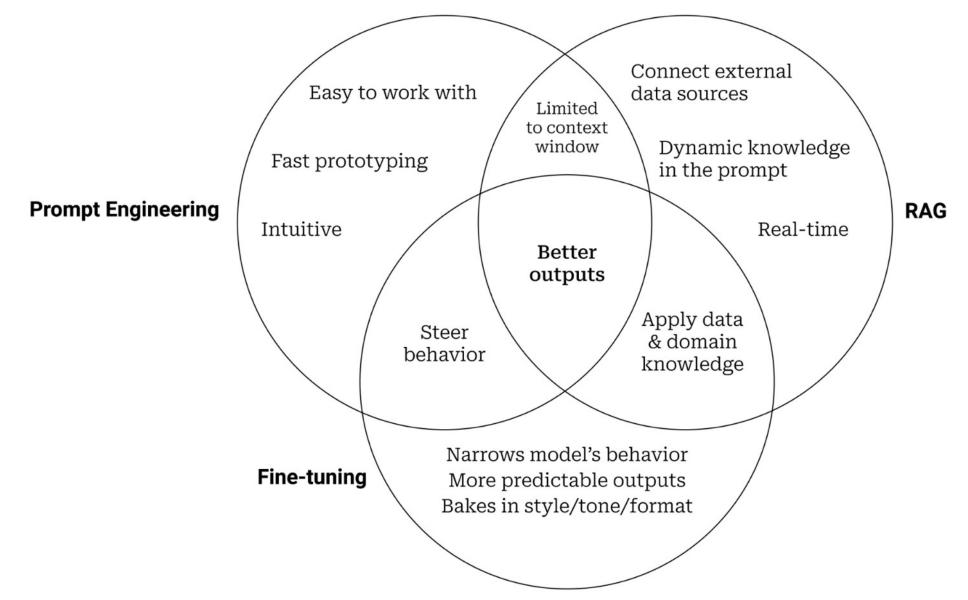




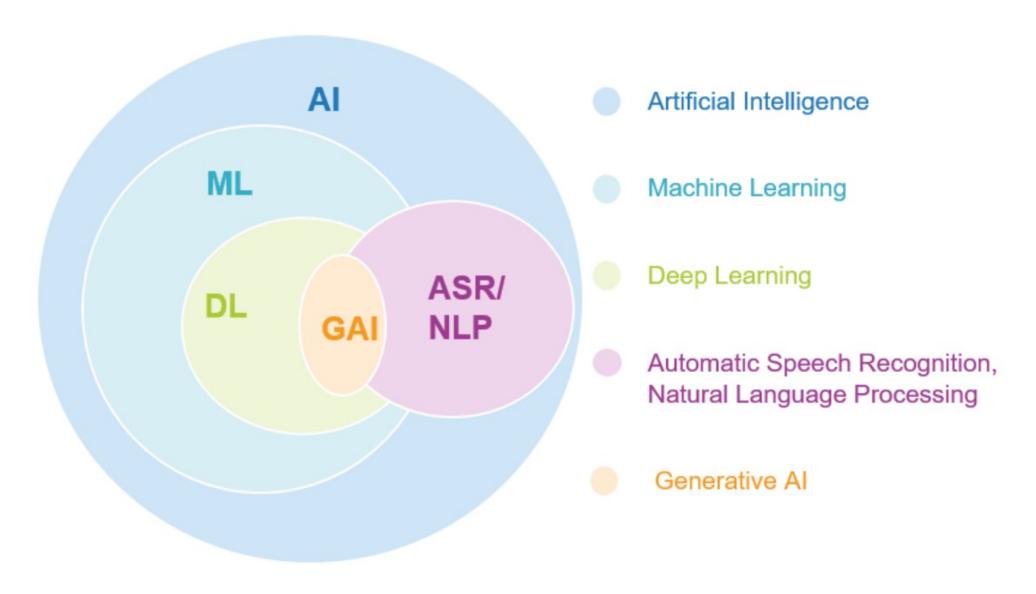
#### **Direct Preference Optimization (DPO)**



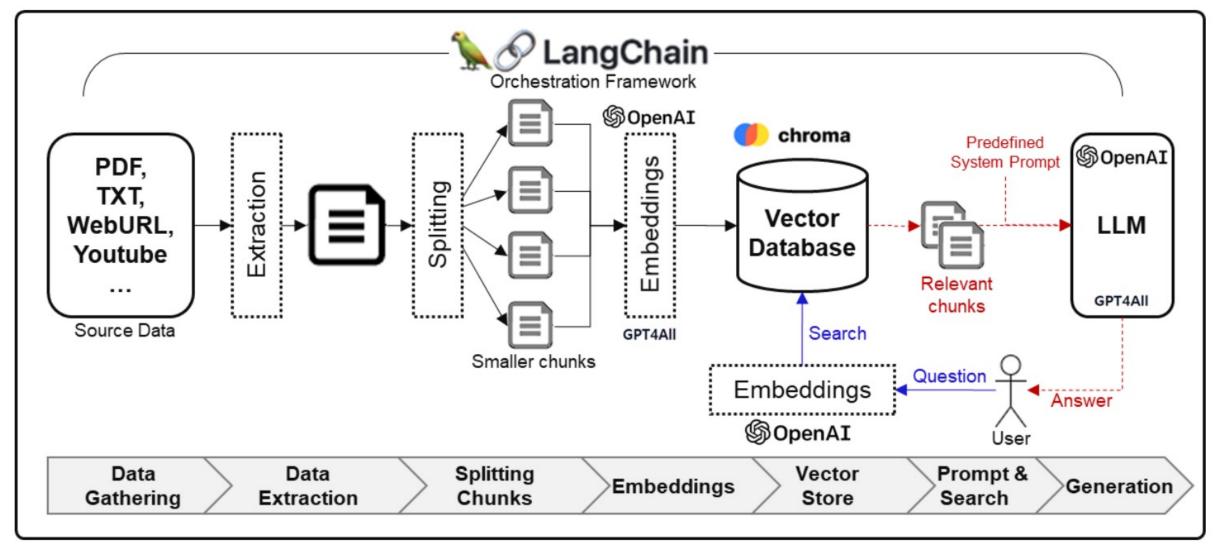
#### Prompt Engineering, Fine-tuning, and RAG



#### **Generative Al**



## Framework for Implementing Generative Al Services using RAG Model



## Factuality Enhancement of Large Language Models (LLMs)

#### **Factuality Enhancement of Large Language Models**

Standalone LLMs					
Supervised	Finetuning				
Continual SFT	Model Edit				

Model Editing

Pretraining-based

Initial Pretraining Continual Pretraining

**Prompt Engineering** 

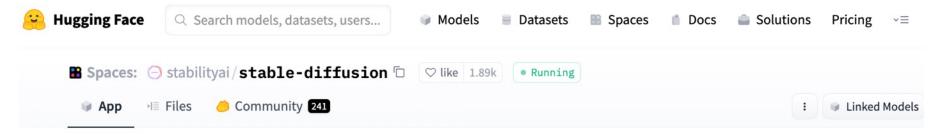
Multi-Agent

Inference and Decoding

Retrieval Augmented Generation					
Normal RAG Setting					
Post-editing					
Int	teracti	ve Re	etrieval		
CoT-based Agent-based Retrieval Retrieval					
R	etrieva	al Ad	aption		
Prompt- based	SF bas		RLHF-based		
Retrieval on External Memory					
Retrieval on KGs/Databases					

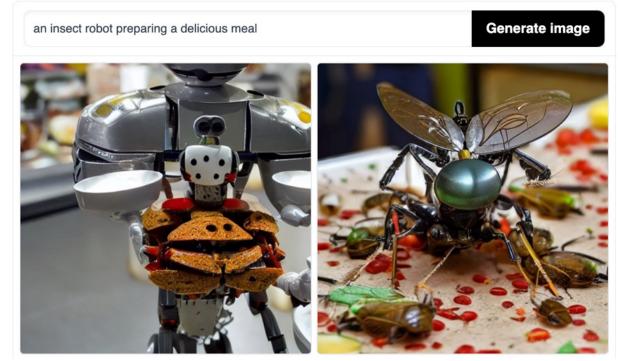
Domain Factuality Enhancement					
Domain enhancement techniques					
Continue-	SFT	Continue Pretraining			
Train Fro	om		External		
Scratch	h	Knowledge			
Domains					
Healthcare	e and	Finance and			
medicir	ne e	Ecommerce			
Legal/Law		Geoscience and Environment			
Education		ood us try	Home Renovation		

#### **Stable Diffusion**



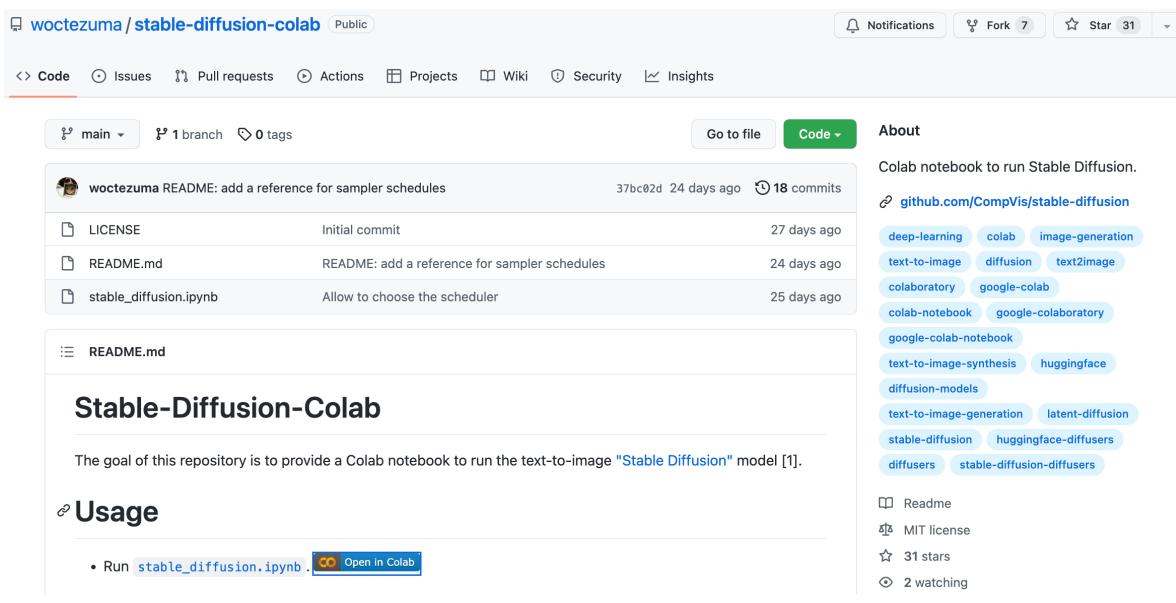
#### **⇒** Stable Diffusion Demo

Stable Diffusion is a state of the art text-to-image model that generates images from text. For faster generation and forthcoming API access you can try <u>DreamStudio Beta</u>



https://huggingface.co/spaces/stabilityai/stable-diffusion

#### **Stable Diffusion Colab**



#### **Stable Diffusion Reimagine**

Clipdrop ► Stable diffusion Reimagine

Apps ∨

API

Blog

**Pricing** 

Sign-in / Sign-up

#### **Stable diffusion reimagine**

Create multiple variations from a single image.



Click, paste, or drop a file here to start.

#### ↓ Or click on an example below



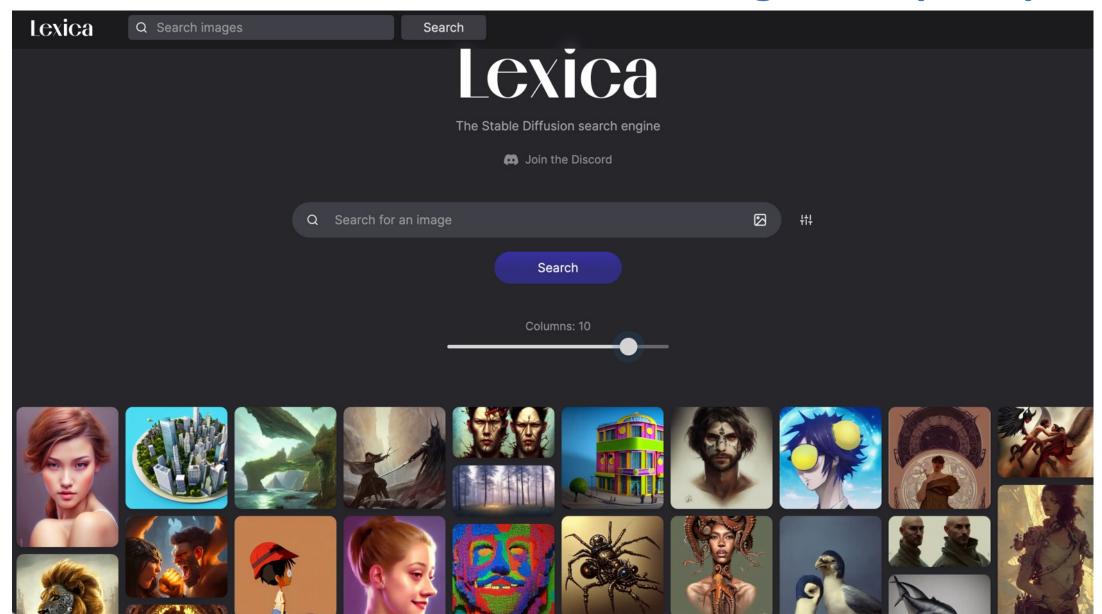




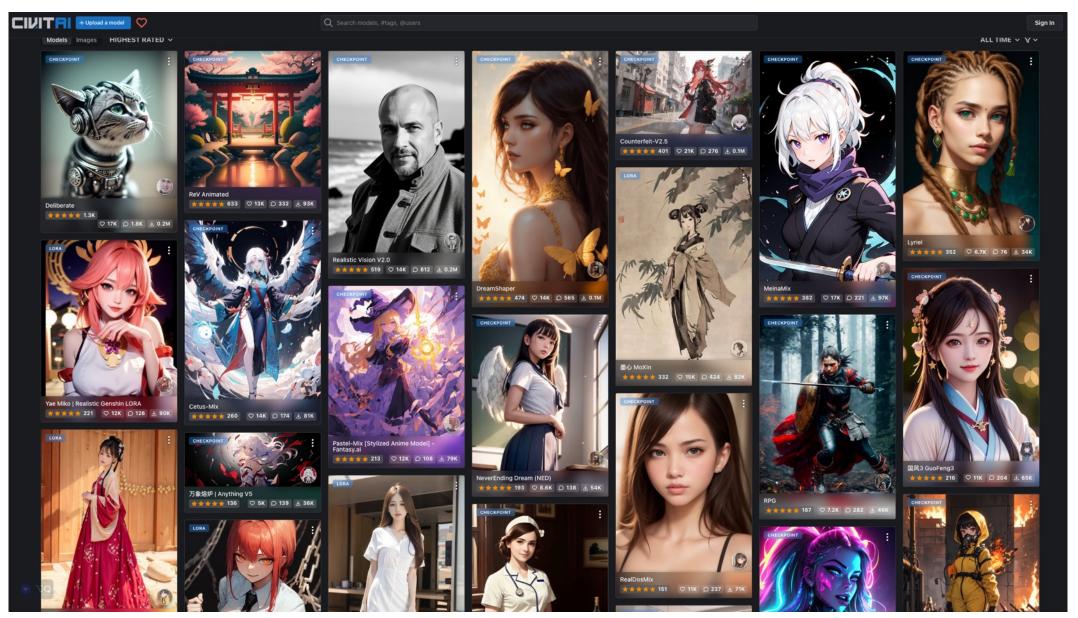




#### Lexica Art: Search Stable Diffusion images and prompts



#### **Civitai: Stable Diffusion Al Art Models**



#### **D-ID Text to Video**



**Products** 

**Technology** 

Ethics

Pricing

Company

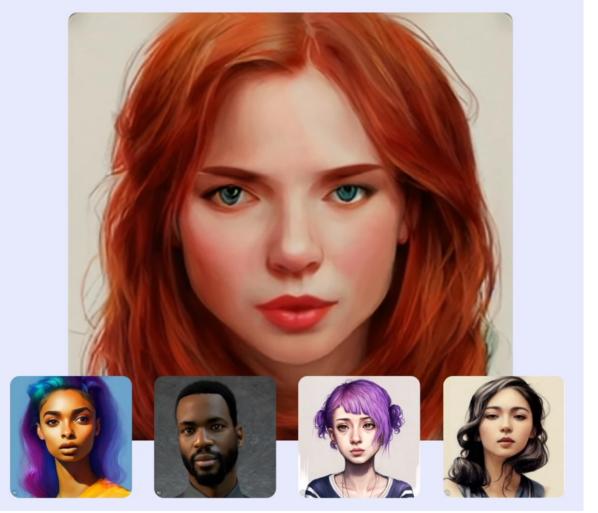
Start Free Trial

Log in

#### Turn Text To Video In 30 Seconds

Save time and money and enrich your content with engaging videos. Try it today!

**Start Free Trial** 



#### Synthesia: #1 Al Video Generation



Features ~

Use cases ∨

Pricing

Resources V

Company ~

Log in

Create account

#1 AI VIDEO GENERATION PLATFORM (i)

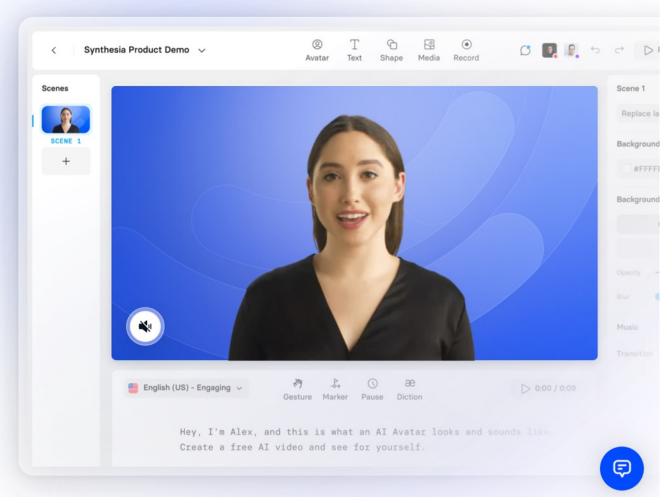
#### Turn your text into videos in minutes

- Get natural sounding AI voices in 120+ languages
- Make your videos more engaging with 140+ Al Avatars
- · Edit as simply as a slide-deck, no experience required

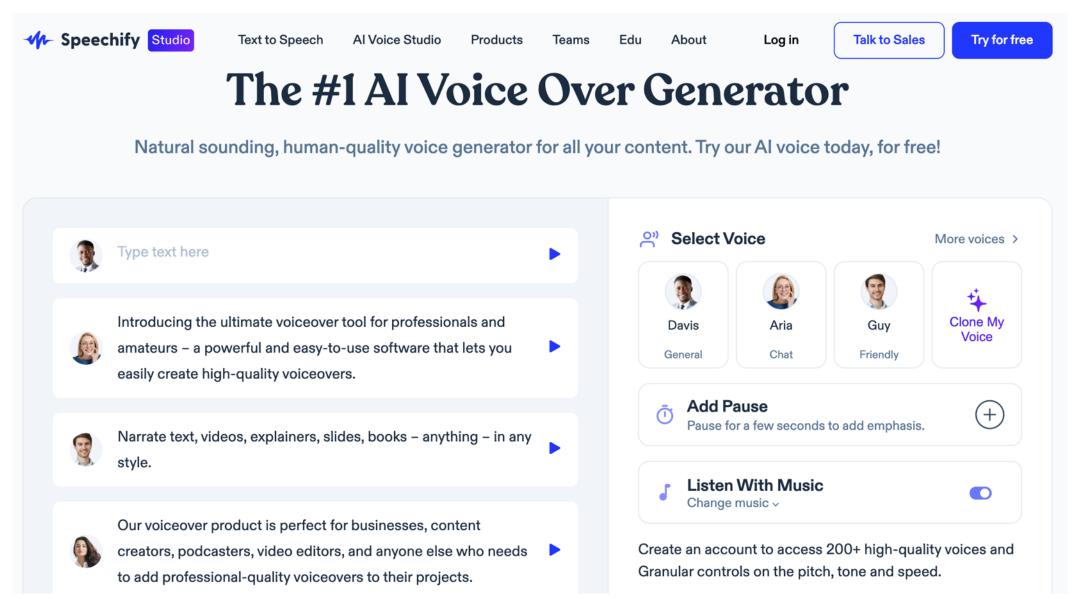
Create a free Al video

▶ Watch 2 min demo

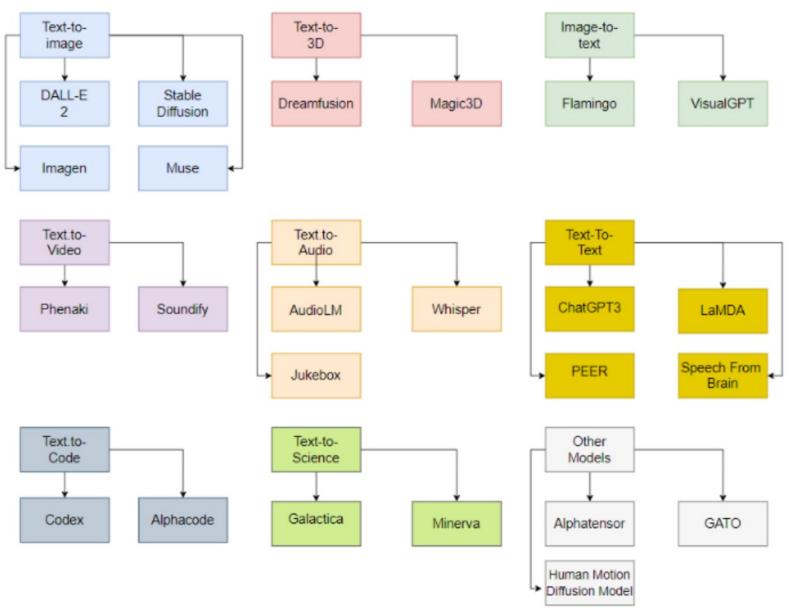
No credit card required.



#### Speechify: #1 Al Voice Over Generator



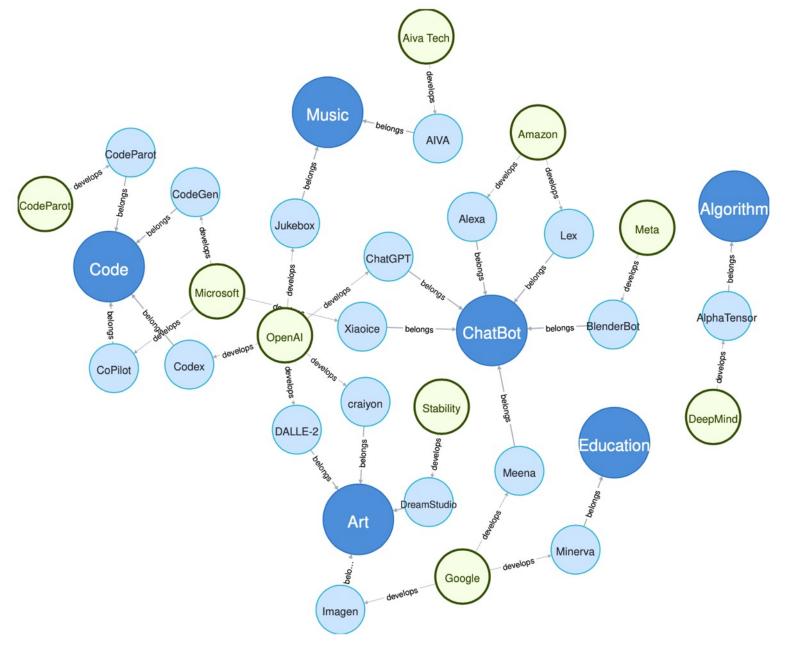
#### **Generative AI Models**



# ChatGPT is not all you need

Attention is all you need

# Generative Al Research Areas, Applications and Companies



#### **Applications of Generative AI Models**

Application	Platform/Software	Company	Year	Papaer	Link
ChatBot	Xiaoice	Microsoft	2018	[200]	Xiaoice
ChatBot	Meena	Google	2020	[201]	Meena Blog
ChatBot	BlenderBot	Meta	2022	[202]	Blenderbot
ChatBot	ChatGPT	OpenAI	2022	[10]	ChatGPT
ChatBot	Alexa	Amazon	2014	-	Amazon Alexa
ChatBot	Lex	Amazon	2017	-	Amazon Lex
Music	AIVA	Aiva Tech	2016	-	AIVA
Music	Jukebox	OpenAI	2020	[203]	Jukebox
Code	CodeGPT	Microsoft	2021	[204]	CodeGPT
Code	CodeParrot	CodeParrot	2022	[205]	CodeParrot
Code	Codex	OpenAI	2021	[206]	Codex blog
Code	CoPilot	Microsoft	2021	[206]	CoPilot
Art	DALL-E-2	OpenAI	2022	[5]	DALL-E-2 Blog
Art	DreamStudio	Stability	2022	[13]	Dreamstudio
Art	craiyon	OpenAI	2021	[1]	Craiyon
Art	Imagen	Google	2022	[152]	Imagen
Education	Minerva	Google	2022	[207]	Minerva Blog
Algorithm	AlphaTensor	DeepMind	2022	[208]	AlphaTensor

## Generative Al for Corporate ESG and Sustainable Development

## ESG:

## Environmental

## Social

Governance

## CSR: Corporate Social Responsibility

## Sustainable Development Goals (SDGs)





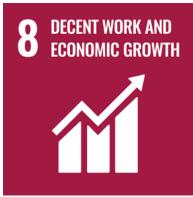
































## Sustainable Development Goals (SDGs) and 5P

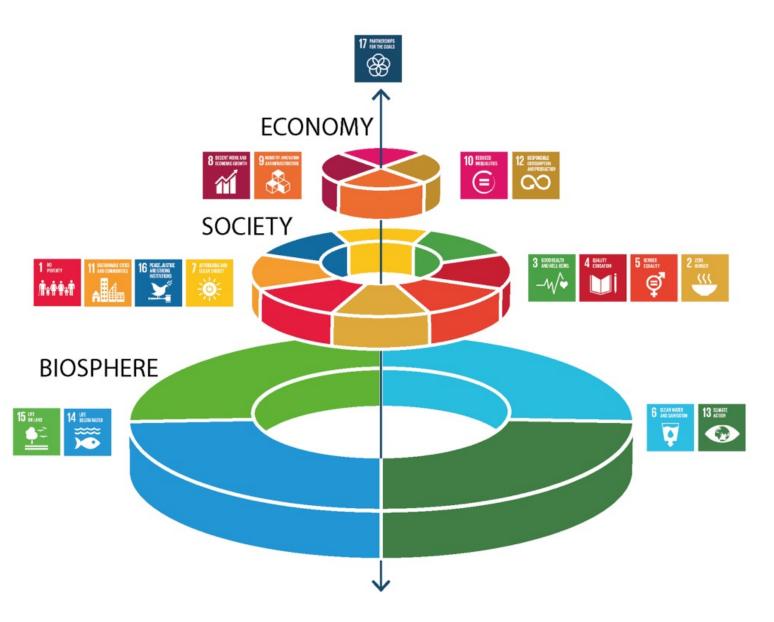
**Partnership** 

**Peace** 

**Prosperity** 

**People** 

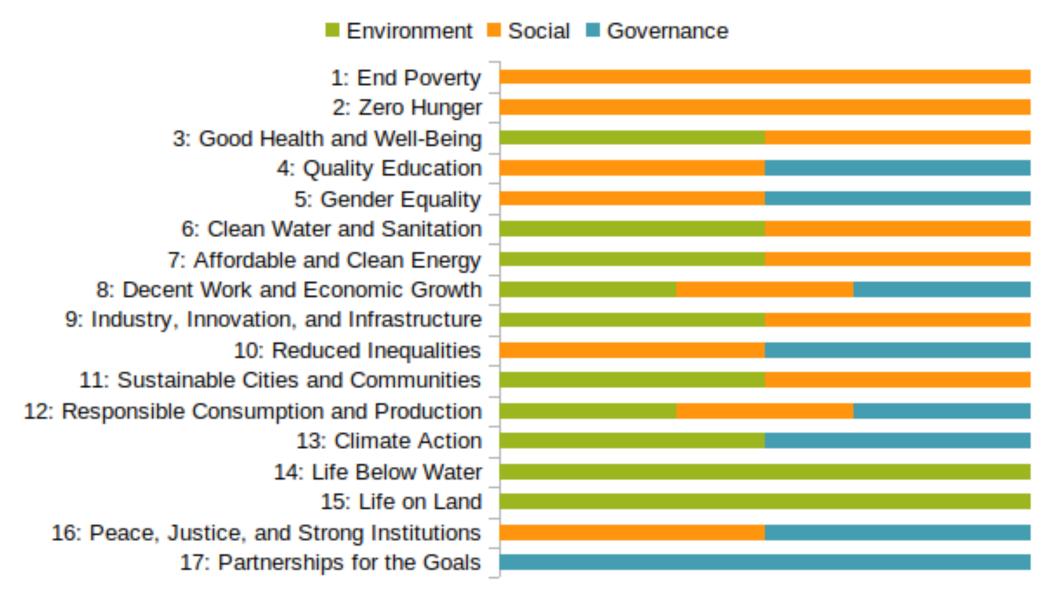
**Planet** 



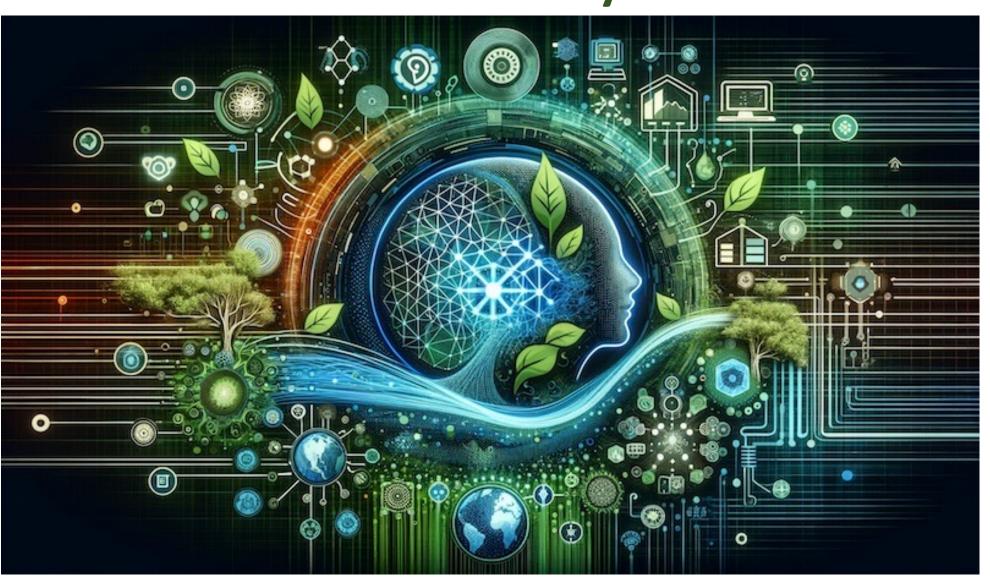
## **Evolution of Sustainable Finance Research**



## ESG to 17 SDGs



## Generative AI and LLMs for Sustainability and ESG Data Analytics



## Sustainability and ESG Data Analytics



## Generative AI for ESG Rating and Reporting Generation



## **Modelling Strategy to Forecast Carbon Emissions with Al**

### DATA

#### **COLLECTING DATA**

#### **Target Variables**

- Total emissions
- Scope 1, Scope 2 and Scope 3 emissions

#### Predictors

- · Scale of operations
- Business model
- Technology advancement
- Energy factors
- Environmental factors

#### Data source

- · Thomson Reuters Eikon
- World Bank
- · IEA

#### PRE- PROCESSING DATA

#### Prefilter low quality data

- Insufficient predictors
- Abnormal trends

#### Data-transformation

Log transformation

#### Outliers

- Remove outliers
- Winsorise outliers

#### Missing values

- List-wide deletion
- Imputation with historical data and peer groups



#### PREDICTION MODEL

#### PREDICTOR SELECTION Classification

- GICS Sector
- GICS Group
- NAICS Sector
- Reclassified NAICS Sector
- Reclassified GICS Group

#### Firm characteristics

- GBB model
- GLS model
- Combined model
- Extended model
- Step-wise model

#### **Environmental factors**

- Carbon law
- Country income group Energy

### Fiscal Years

#### BUILDING BASE-LEANERS Linear models

- · OLS
- Elastic Net

#### Non linear models

- Neural Network
- K Nearest Neighbours

#### Decision tree ensembles

- Random Forests
- Extreme Gradient Boosting

#### BUILDING META-LEARNERS Simple combination

- Arithmetic mean
- Median

#### Stacked generalization

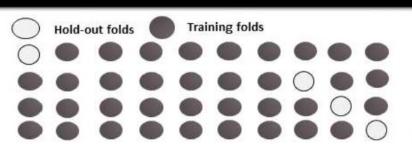
- Meta OLS
- Meta Elastic Net
- Meta XGB

#### Hyper-parameter optimization metrics

Mean Absolute Error (MAE)

### MODEL EVALUATION

Double 10-Fold division for baselearners and metalearners



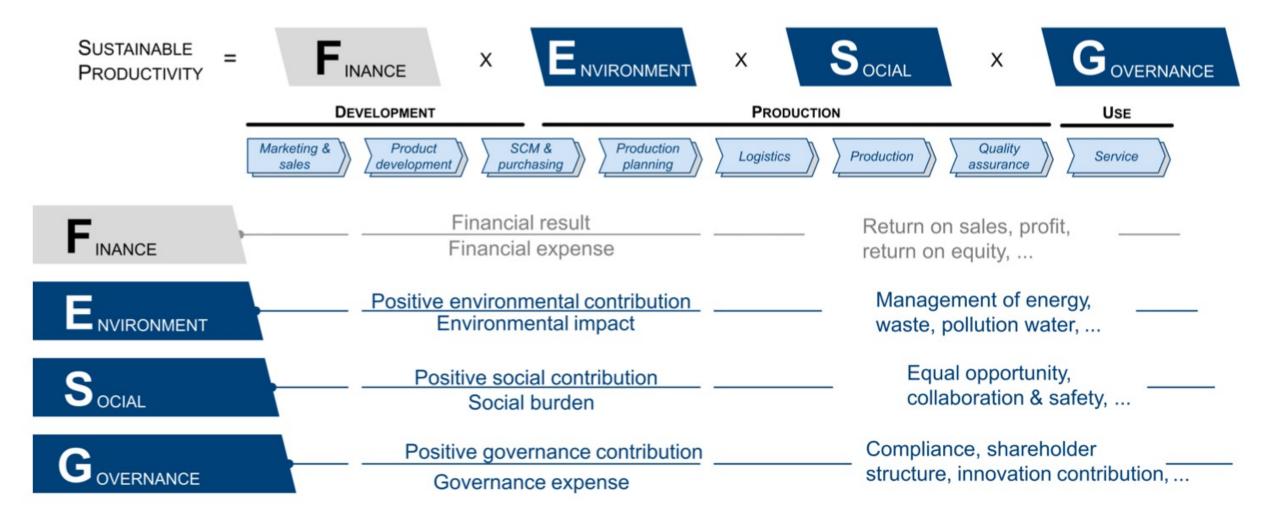
#### Main Evaluation metrics:

- Mean Absolute Error (MAE)
- Wilcoxon Signed-Rank test

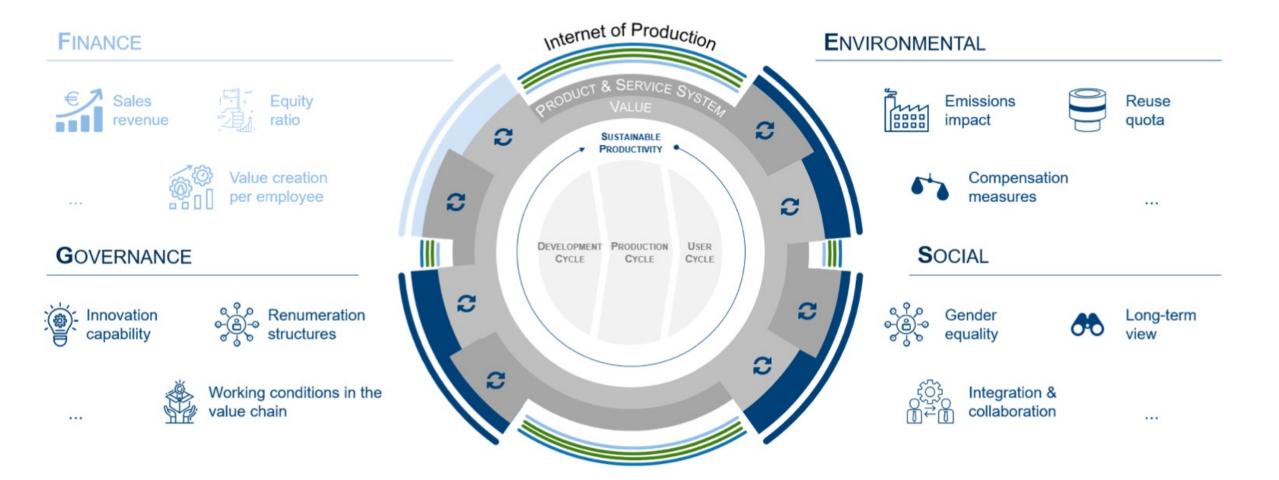
#### Robustness Tests

- Alternative measures (MAPE, PPAR)
- Test of percentileranking, mean difference and SP500 membership subgroup

## Sustainable Productivity: Finance ESG



## Sustainable Resilient Manufacturing ESG



## **ESG Indexes**

- MSCI ESG Index
- Dow Johns Sustainability Indices (DJSI)
- FTSE ESG Index

## **MSCI ESG Rating Framework**



### **DATA**

1,000+ data points on ESG policies, programs, and performance;

Data on 100,000 individual directors; up to 20 years of shareholder meeting results



### **EXPOSURE METRICS**

How exposed is the company to each material issue?

Based on over 80 business and geographic segment metrics

### MANAGEMENT METRICS

How is the company managing each material issue? 150 policy/program metrics, 20 performance metrics; 100+ Governance Key Metrics



## SOURCES U

100+ specialized datasets (government, NGO, models) Company disclosure (10-K,

**Company disclosure** (10-K, sustainability report, proxy report)

**3,400+ media sources monitored daily** (global and local news sources, governments, NGOs)

## KEY ISSUE SCORES & WEIGHTS

**35 Key Issues** selected annually for each industry and weighted based on MSCI's materiality mapping framework.

### ESG RATING (AAA-CCC)

Issue scores and weights combine to overall ESG rating relative to industry peers.

Individual E, S, G scores also available

#### INSIGHT

Specialized ESG research team provides additional insight through:

Company reports
Industry reports
Thematic reports
Analyst calls & webinars



Systematic, ongoing daily monitoring of controversies and governance events

In-depth quality review processes at all stages of rating, including formal committee review

### DATA OUTPUTS

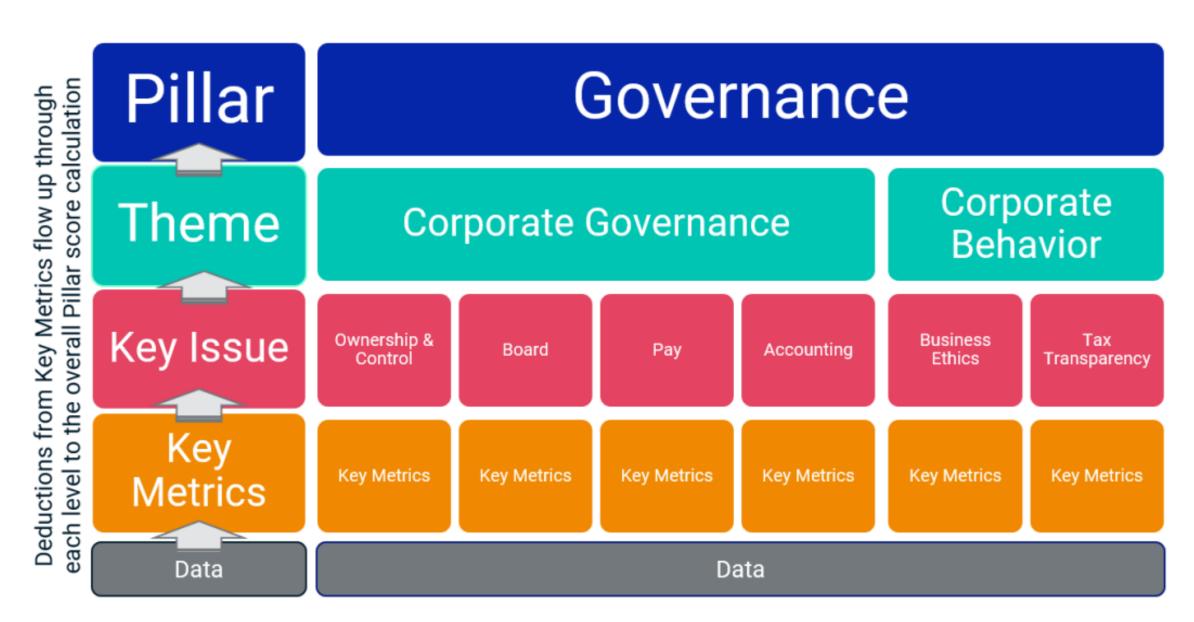
Access to selected underlying data Ratings, scores, and weights on 680,000 securities 17 years of history



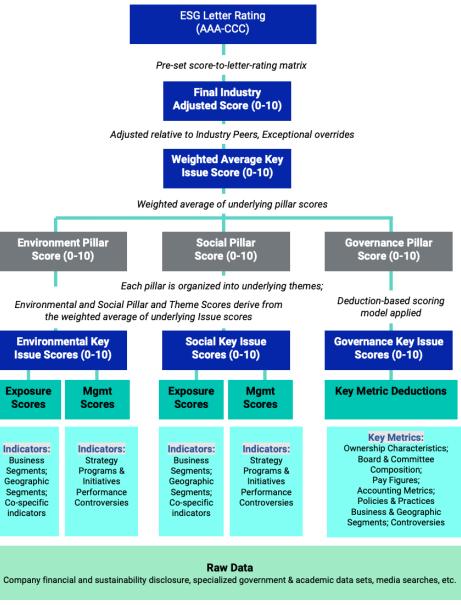
## **MSCI ESG Key Issue Hierarchy**

3 Pillars	10 Themes	35 ESG Key Issues		
Environment	Climate Change	Carbon Emissions	Financing Environmental Impact	
		Product Carbon Footprint	Climate Change Vulnerability	
Natural Capital		Water Stress	Raw Material Sourcing	
		Biodiversity & Land Use		
	Pollution &	Toxic Emissions & Waste	Electronic Waste	
	Waste	Packaging Material & Waste		
	Environmental	Opportunities in Clean Tech	Opportunities in Renewable Energy	
	Opportunities	Opportunities in Green Building		
Social	Human Capital	Labor Management	Human Capital Development	
		Health & Safety	Supply Chain Labor Standards	
	Product Liability	Product Safety & Quality	Privacy & Data Security	
		Chemical Safety	Responsible Investment	
		Consumer Financial Protection	Health & Demographic Risk	
	Stakeholder	Controversial Sourcing		
	Opposition	Community Relations		
	Social	Access to Communications	Access to Health Care	
	Opportunities	Access to Finance	Opportunities in Nutrition & Health	
Governance	Corporate	Ownership & Control	Pay	
	Governance	Board	Accounting	
	Corporate	Business Ethics		
	Behavior	Tax Transparency		

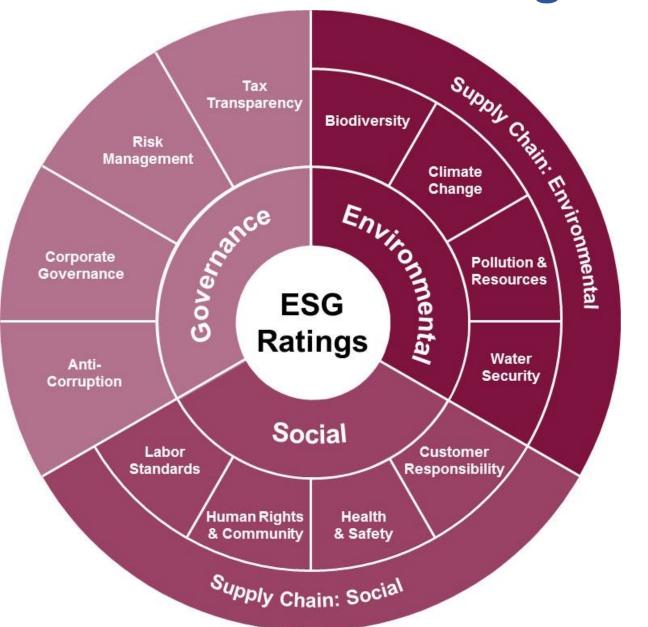
## **MSCI Governance Model Structure**



## **MSCI Hierarchy of ESG Scores**



## **FTSE Russell ESG Ratings**





## Sustainalytics ESG Risk Ratings

Sustainalytics' ESG Risk Ratings measure a company's exposure to industry-specific material ESG risks and how well a company is managing those risks.

Negligible	Low	Medium	High	Severe
0 - 10	10 - 20	20 - 30	30 - 40	40+

## TruValue Labs FACTSET

# Truvalue ESG Ranks

- Truvalue Labs applies AI to analyze over 100,000 sources and uncover ESG risks and opportunities hidden in unstructured text.
- The ESG Ranks data service produces an overall company rank based on industry percentile leveraging the 26 ESG categories defined by the Sustainability Accounting Standards Board (SASB).
- The data feed covers 20,000+ companies with more than 13 years of history.

 Laggard
 Below Average
 Average
 Above Average
 Leader

## Analyst-driven vs. Al-driven ESG

## **Analyst-driven ESG research**

**Sustainalytics** 

Derives ratings in a structured data model

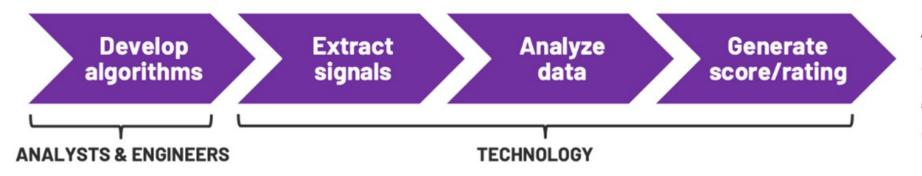


Analyst role at the end of the process allows subjectivity to color results

## Al-driven ESG research

Derives signals from unstructured data

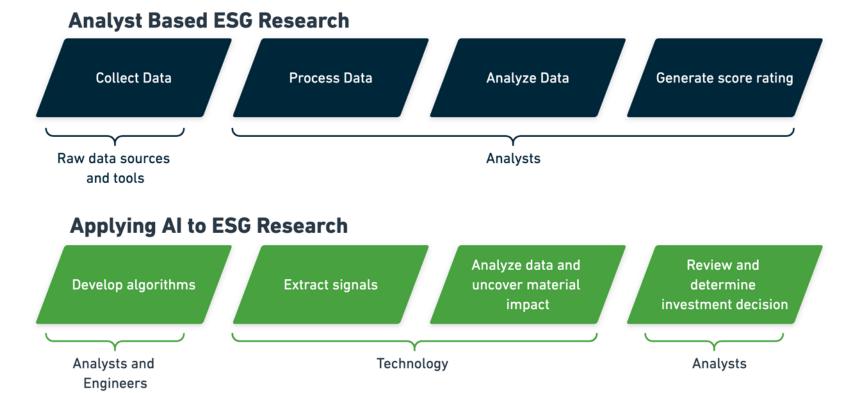
## **Truvalue Labs**



Analyst expertise at the beginning of the process produces consistent results

## Analyst based ESG Research

## Al based ESG Research



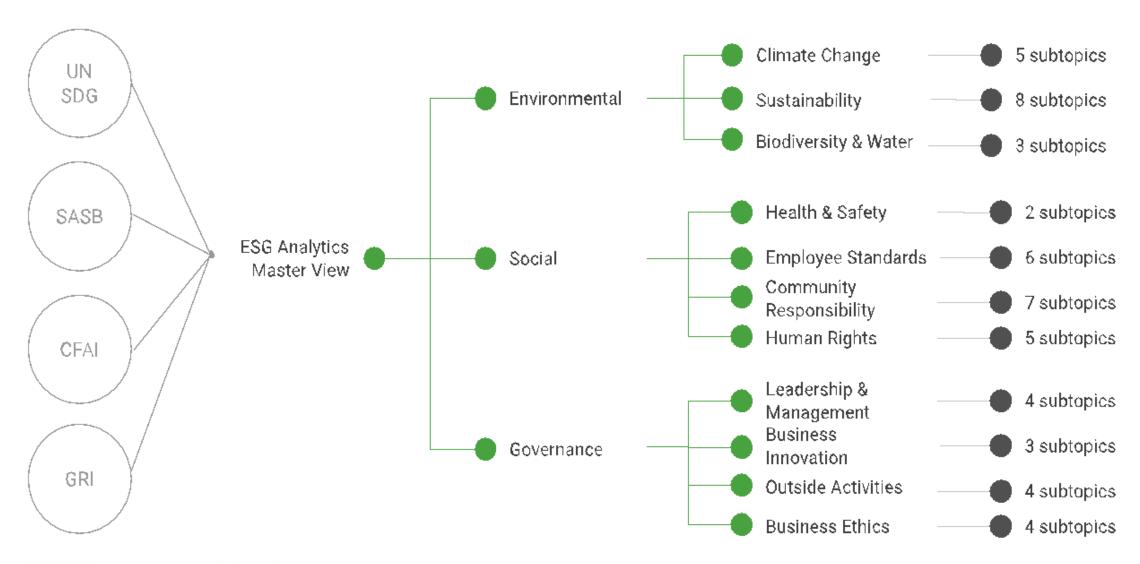
### It would take an analyst over 5 years to do what our AI can in 1 week

Combining analysts with AI creates gives you the full picture





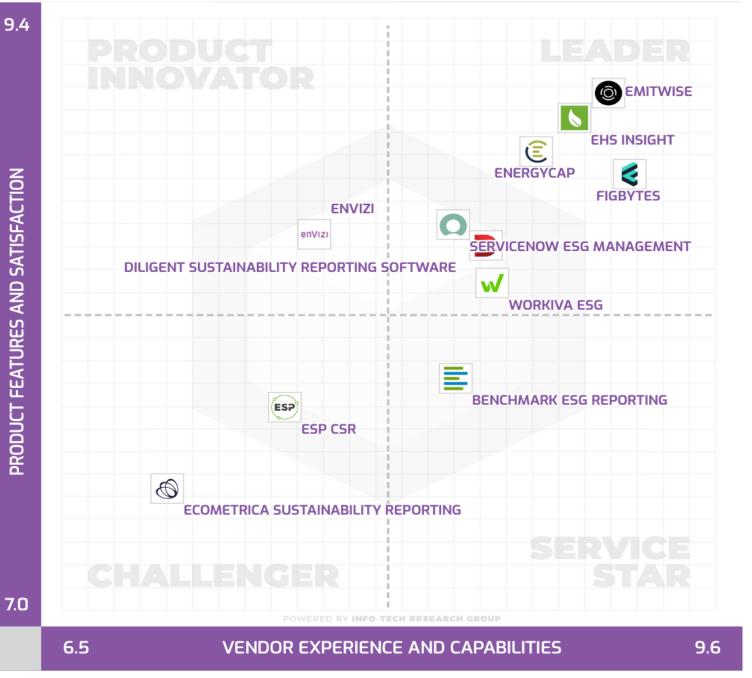
## **ESG Analytics: NLP Taxonomy**





# Top ESG Reporting Software

Environmental, Social and Governance (ESG) Reporting software or Sustainability software helps organizations manage their operational data, evaluate their impact on the environment and provide reporting to perform audits.



## Summary



- 1. Generative Al
- 2. ChatGPT and Large Language Models (LLMs)
- 3. Popular Generative AI and Applications
- 4. Generative AI for Corporate ESG and Sustainable Development

## **Acknowledgments: Research Projects**

- 1. Applying AI technology to construct knowledge graphs of cryptocurrency anti-money laundering: a few-shot learning model
  - MOST, 110-2410-H-305-013-MY2, 2021/08/01~2023/07/31
- 2. Fintech Green Finance for Carbon Market Index, Corporate Finance, and Environmental Policies. Carbon Emission Sentiment Index with AI Text Analytics
  - NTPU, 112-NTPU ORDA-F-003 , 2023/01/01~2024/12/31
- 3. Digital Support, Unimpeded Communication: The Development, Support and Promotion of AI-assisted Communication Assistive Devices for Speech Impairment. Multimodal Cross-lingual Task-Oriented Dialogue System for Inclusive Communication Support
  - NSTC 112-2425-H-305-002-, 2023/05/01-2026/04/30
- 4. Establishment and Implement of Smart Assistive Technology for Dementia Care and Its Socio-Economic Impacts. Intelligent, individualized and precise care with smart AT and system integration
  - NSTC, NSTC, 112-2627-M-038-001-, 2023/08/01~2024/07/31
- 5. Use deep learning to identify commercially dental implant systems observational study
  - USTP-NTPU-TMU, USTP-NTPU-TMU-112-01, 2023/01/01~2023/12/31
- 6. Metaverse AI Multimodal Cross-Language Task-Oriented Dialogue System
  - ATEC Group x NTPU, NTPU-112A413E01, 2023/05/01~2026/04/30
- 7. Metaverse Avatar Automatic Metadata Generation Module
  - FormosaVerse x NTPU, NTPU-111A413E01, 2022/12/01~2023/11/30
- 8. Pilot Study on Universal Data Processing for Code Generation Engine
  - III x NTPU, NTPU-112A513E01, 2023/08/01~2023/12/22

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## Q & A

## 生成式AI在企業永續發展的應用

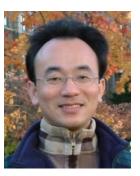
**Generative AI for Corporate ESG and Sustainable Development** 

Time: 15:00-17:00, Saturday, **December 2, 2023** 

Place: NTPU USR Hub

https://learningcollaboration.org/index.php/2023/09/21/collegeco1120103/





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